
Electromagnetic scattering

Graduate Course

Electrical Engineering (Communications)

1st Semester, 1388-1389

Sharif University of Technology

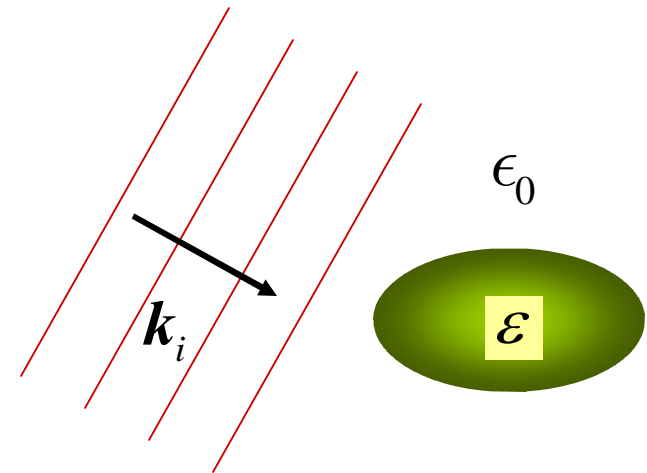
Contents of lecture 8

□ Contents of lecture 8:

- Scattering from small dielectric objects (Rayleigh scattering)
- Volume integral equation for a dielectric particle
- Small particle (uniform field) approximation
- The depolarization field and factors for ellipsoids
- Solution of the problem
- The scattered field
- Examples

Introduction

- We consider the problem of scattering by a dielectric object with a constant permittivity, but assume the object to have dimensions much smaller than the wavelength (inside the object)
- To express the actual field distribution inside the particle, let us return to the volume integral equation



$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_i(\mathbf{r}) + k_0^2 \int_V \overline{\overline{\mathbf{G}}}_0(\mathbf{r}, \mathbf{r}') \cdot \delta\epsilon \mathbf{E}(\mathbf{r}') dV'$$

/ \

Total field Incident field

$$\delta\epsilon = \epsilon - \epsilon_0$$

Introduction

- Remember that

$$\delta\epsilon \mathbf{E}(\mathbf{r}') = \frac{1}{\epsilon_0} (\epsilon - \epsilon_0) \mathbf{E}(\mathbf{r}') = \frac{1}{\epsilon_0} \mathbf{P}(\mathbf{r}') \quad \leftarrow \text{Electric polarization}$$

- Consider now the field generated by this polarization inside the dielectric particle

$$\frac{k_0^2}{\epsilon_0} \int_V \overline{\overline{\mathbf{G}}}_0(\mathbf{r}, \mathbf{r}') \cdot \mathbf{P}(\mathbf{r}') dV' =$$
$$\frac{1}{\epsilon_0} \int_V \left[k_0^2 G_0(\mathbf{r}, \mathbf{r}') \mathbf{P}(\mathbf{r}') - \nabla \nabla' G_0(\mathbf{r}, \mathbf{r}') \cdot \mathbf{P}(\mathbf{r}') \right] dV'$$

Introduction

- To gain more insight, we compare the ratio of the two terms inside the integral. We have

$$\frac{\nabla\nabla'G_0(\mathbf{r},\mathbf{r}')}{k_0^2G_0(\mathbf{r},\mathbf{r}')} = \left\{ \bar{\mathbf{I}} [1 + jk_0R] + \frac{\mathbf{R}\mathbf{R}}{R^2} \left[(k_0R)^2 - 3j(k_0R) - 3 \right] \right\} \frac{1}{(k_0R)^2}$$

$$\mathbf{R} = \mathbf{r} - \mathbf{r}', \quad R = |\mathbf{R}|$$


- If the particle is small compared to wavelength, then $k_0R \ll 1$ and this ratio can be huge. Therefore, the first term in the integral is negligible.

The electrostatic approximation

- Now, consider the 2nd term

$$\begin{aligned}\nabla\nabla'G_0(\mathbf{r},\mathbf{r}') &= \\ &= \left\{ \bar{\mathbf{I}} [1 + jk_0R] + \frac{\mathbf{R}\mathbf{R}}{R^2} \left[(k_0R)^2 - 3j(k_0R) - 3 \right] \right\} \frac{\exp(-jk_0R)}{4\pi R^3} \\ &\approx \left\{ \bar{\mathbf{I}} - 3\frac{\mathbf{R}\mathbf{R}}{R^2} \right\} \frac{1}{4\pi R^3} = \nabla\nabla' \left(\frac{1}{4\pi R} \right)\end{aligned}$$

This is the electrostatic approximation


$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_i(\mathbf{r}) - \int_V \nabla\nabla' \left(\frac{1}{4\pi\epsilon_0 R} \right) \cdot \mathbf{P}(\mathbf{r}') dV'$$

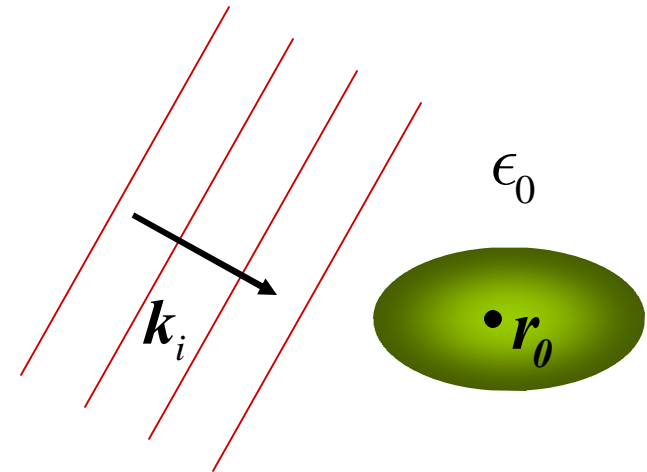
The electrostatic approximation

- Let us rewrite this field as

$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_i(\mathbf{r}) - \nabla \oint_S \frac{\mathbf{P}(\mathbf{r}') \cdot \hat{\mathbf{n}}}{4\pi\epsilon_0 R} dS' - \nabla \int_V \frac{[-\nabla' \cdot \mathbf{P}(\mathbf{r}')] }{4\pi\epsilon_0 R} dV'$$

- Now, inside a small particle the incident field is almost a constant:

$$\begin{aligned}\mathbf{E}_i(\mathbf{r}) &= \mathbf{E}_i^0 \exp(-j\mathbf{k}_i \cdot \mathbf{r}) \\ &\approx \mathbf{E}_i^0 \exp(-j\mathbf{k}_i \cdot \mathbf{r}_0)\end{aligned}$$



The electrostatic approximation

- We have to solve the electrostatic problem of a dielectric in a uniform, externally applied electric field
- For a dielectric particle of ellipsoid shape, it is known that the solution is a uniform (constant) polarization vector
- It generates a uniform depolarization field

$$\mathbf{E}_{dep} = -\nabla \oint_S \frac{\mathbf{P} \cdot \hat{\mathbf{n}}}{4\pi\epsilon_0 R} dS' = -\frac{1}{\epsilon_0} \overline{\overline{\mathbf{N}}} \cdot \mathbf{P}$$

Depolarization tensor

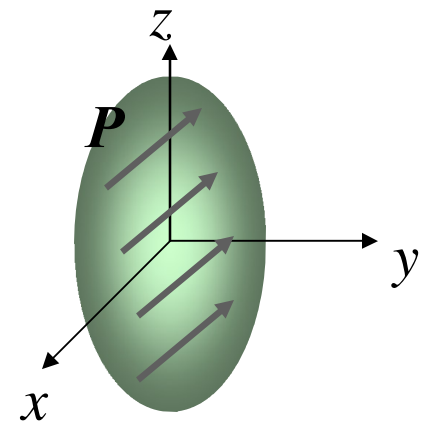
The field inside an ellipsoid

- To show this, consider a 3D ellipsoid with its principal axes chosen along the x, y , and z axes of the coordinate system
- Let us decompose the constant polarization vector also into its x, y , and z components. The total depolarization field will be the superposition of the fields generated by each component

$$\mathbf{E}_{dep} = \mathbf{E}_{dep}^x + \mathbf{E}_{dep}^y + \mathbf{E}_{dep}^z$$

$$\mathbf{E}_{dep}^\alpha = -P_\alpha \nabla \oint_S \frac{\hat{\mathbf{v}}_\alpha \cdot \hat{\mathbf{n}}}{4\pi\epsilon_0 R} dS', \quad \alpha = x, y, z$$

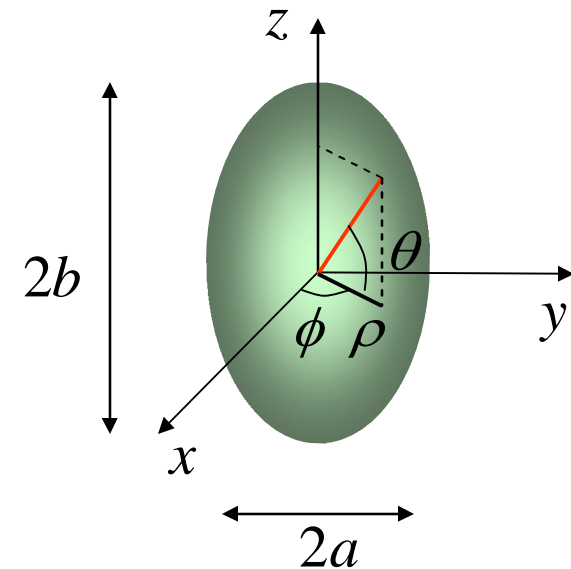
$$\hat{\mathbf{v}}_x \equiv \hat{\mathbf{x}}, \hat{\mathbf{v}}_y \equiv \hat{\mathbf{y}}, \hat{\mathbf{v}}_z \equiv \hat{\mathbf{z}}$$



The field inside an ellipsoid

- The case of a general ellipsoid is too complicated. Thus, we analyze the problem for the particular case of an *ellipsoid of revolution*. To obtain such an object, one can draw an ellipse on the x-z plane and rotate it around the z-axis.
- We 1st use cylindrical coordinates in which the surface of the ellipsoid is given by the equation

$$\frac{\rho^2}{a^2} + \frac{z^2}{b^2} = 1$$



The field inside an ellipsoid

- The problem we are trying to solve is the electrostatic problem of the electric field induced by the surface charge

$$\rho_s = P_\alpha \hat{v}_\alpha \cdot \hat{n}$$

- So we may solve the Laplace equation $\nabla^2 \Phi = 0$ inside and outside the ellipsoid, and match the solutions on the surface
- In cylindrical coordinates the Laplace equation reads

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial \Phi}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 \Phi}{\partial \phi^2} + \frac{\partial^2 \Phi}{\partial z^2} = 0$$

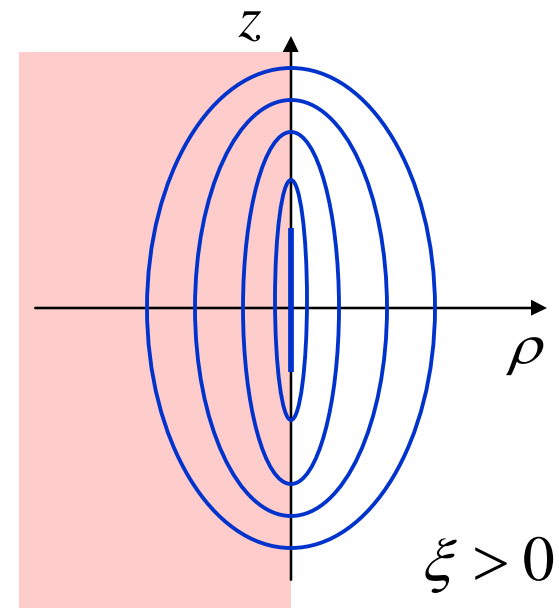
The field inside an ellipsoid

- But this coordinate system is inappropriate to solve the problem. Instead consider the parametrization ($\gamma > 0$)

$$\rho = \gamma \sinh \xi \sin \theta, \quad z = \gamma \cosh \xi \cos \theta \quad 0 < \xi < \infty, 0 < \theta < \pi$$

- Obviously, coordinate surfaces corresponding to $\xi = \xi_0 = \text{constant}$ are half ellipsoids with axes length's $\gamma \cosh \xi_0$ $\gamma \sinh \xi_0$

$$\left(\frac{\rho}{\gamma \sinh \xi_0} \right)^2 + \left(\frac{z}{\gamma \cosh \xi_0} \right)^2 = 1$$



The field inside an ellipsoid

- To be able to simplify the problem, the surface of our ellipsoid should coincide with one of these surfaces:

$$a = \gamma \sinh \xi_0 \quad b = \gamma \cosh \xi_0$$

- This is possible if $\gamma^2 = b^2 - a^2$. Then the corresponding ξ is

$$\xi_e = \operatorname{arctanh} \left(\frac{a}{b} \right)$$

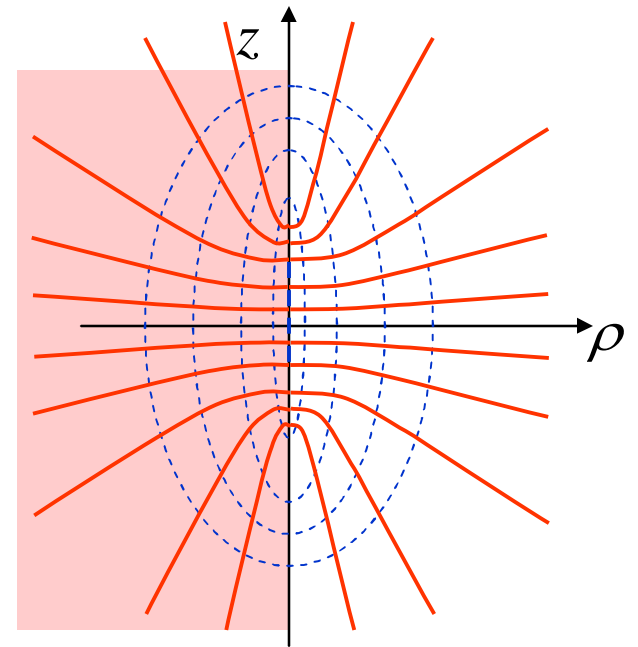
- Note that we have assumed $b > a$. If that is not the case we interchange sinh and cosh in the formulation.

The field inside an ellipsoid

- The surfaces corresponding to $\theta = \text{constant}$ are hyperboloids

$$\theta = \theta_0 \rightarrow \left(\frac{z}{\gamma \cos \theta_0} \right)^2 - \left(\frac{\rho}{\gamma \sin \theta_0} \right)^2 = 1$$

- For correct parametrization we demanded that $\xi > 0$ and $0 < \theta < \pi$
- In this way all the space with $\rho > 0$ will be covered



The field inside an ellipsoid

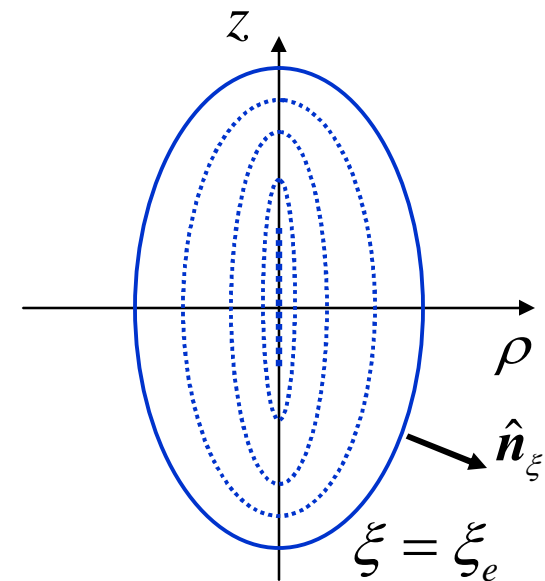
- The Laplace equation in this new coordinate system is

$$\frac{1}{\sinh \xi} \frac{\partial}{\partial \xi} \left(\sinh \xi \frac{\partial \Phi}{\partial \xi} \right) + \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial \Phi}{\partial \theta} \right) + \frac{\sinh^2 \xi + \sin^2 \theta}{\sinh^2 \xi \sin^2 \theta} \frac{\partial^2 \Phi}{\partial \phi^2} = 0$$

- The outward normal derivative on the surface of the ellipsoid is given by

$$\hat{\mathbf{n}}_{\xi} \cdot \nabla \Phi = \frac{1}{\gamma \sqrt{\sinh^2 \xi_e + \sin^2 \theta}} \frac{\partial \Phi}{\partial \xi} \Big|_{\xi=\xi_e}$$

$$\hat{\mathbf{n}}_{\xi} = \frac{\hat{\rho} \cosh \xi_e \sin \theta + \hat{\mathbf{z}} \sinh \xi_e \cos \theta}{\sqrt{\sinh^2 \xi_e + \sin^2 \theta}}$$



The field inside an ellipsoid

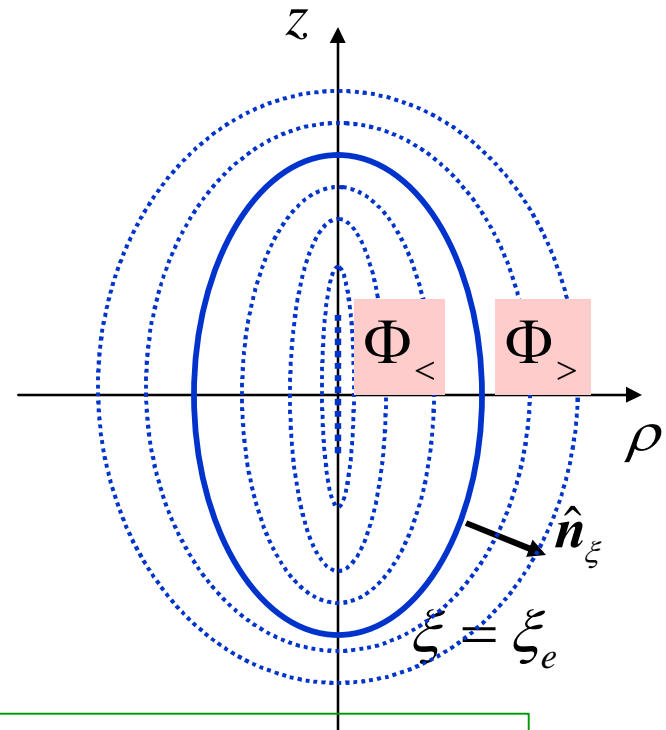
- Now we solve the Laplace equation both inside and outside the ellipsoid and match the solutions using

$$\Phi_{>}(\xi_e, \theta, \phi) = \Phi_{<}(\xi_e, \theta, \phi)$$

$$\hat{\mathbf{n}}_{\xi} \cdot \nabla \Phi_{>} - \hat{\mathbf{n}}_{\xi} \cdot \nabla \Phi_{<} = -\frac{\rho_s}{\epsilon_0}$$

$$\rho_s = P_{\alpha} \hat{\mathbf{v}}_{\alpha} \cdot \hat{\mathbf{n}}_{\xi}$$

$$\left. \frac{\partial \Phi_{>}}{\partial \xi} \right|_{\xi=\xi_e} - \left. \frac{\partial \Phi_{<}}{\partial \xi} \right|_{\xi=\xi_e} = -\gamma P_{\alpha} \hat{\mathbf{v}}_{\alpha} \cdot (\hat{\boldsymbol{\rho}} \cosh \xi_e \sin \theta + \hat{\mathbf{z}} \sinh \xi_e \cos \theta)$$

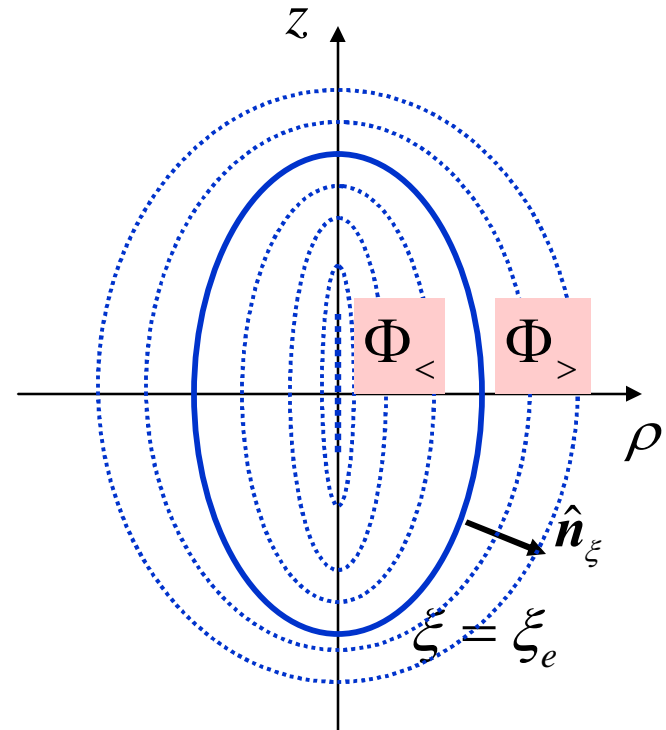


The field inside an ellipsoid

- 1st case: polarization along z-axis

$$\Phi_{>}(\xi_e, \theta, \phi) = \Phi_{<}(\xi_e, \theta, \phi)$$

$$\left. \frac{\partial \Phi_{>}}{\partial \xi} \right|_{\xi=\xi_e} - \left. \frac{\partial \Phi_{<}}{\partial \xi} \right|_{\xi=\xi_e} = -\gamma \frac{P_z}{\epsilon_0} \sinh \xi_e \cos \theta$$



- In both regions try solution of the type

$$\Phi = \cos \theta f(\xi) \rightarrow \frac{1}{\sinh \xi} \frac{d}{d\xi} \left(\sinh \xi \frac{df}{d\xi} \right) - 2f = 0$$

The field inside an ellipsoid

- Solving the equation:

$$s = \cosh \xi \rightarrow (s^2 - 1) \frac{d^2 f}{ds^2} + 2s \frac{df}{ds} - 2f = 0$$

- General solution:

$$f(s) = As + B \left[1 + \frac{s}{2} \ln \left(\frac{s-1}{s+1} \right) \right]$$

$$f(\xi) = A \cosh \xi + B \left[1 + \frac{\cosh \xi}{2} \ln \left(\frac{\cosh \xi - 1}{\cosh \xi + 1} \right) \right]$$

The field inside an ellipsoid

- Inside the ellipsoid (to ensure finiteness at $\xi=0$)

$$f_{<}(\xi) = A \cosh \xi$$

- Outside the ellipsoid (to ensure finiteness at $\xi=\infty$)

$$f_{>}(\xi) = B \left[1 + \frac{\cosh \xi}{2} \ln \left(\frac{\cosh \xi - 1}{\cosh \xi + 1} \right) \right]$$

- Matching:

$$A \cosh \xi_e = B \left[1 + \frac{\cosh \xi_e}{2} \ln \left(\frac{\cosh \xi_e - 1}{\cosh \xi_e + 1} \right) \right]$$

$$B \left[\frac{1}{2} \ln \left(\frac{\cosh \xi_e - 1}{\cosh \xi_e + 1} \right) + \frac{\cosh \xi_e}{\sinh^2 \xi_e} \right] - A = -\gamma \frac{P_z}{\epsilon_0}$$

The field inside an ellipsoid

$$A = -\gamma \frac{P_z}{\epsilon_0} \sinh^2 \xi_e \left[1 + \frac{\cosh \xi_e}{2} \ln \left(\frac{\cosh \xi_e - 1}{\cosh \xi_e + 1} \right) \right]$$

$$B = -\gamma \frac{P_z}{\epsilon_0} \sinh^2 \xi_e \cosh \xi_e$$

- Inside the ellipsoid

$$\Phi_{<} = \cos \theta f_{<}(\xi) = N_z \frac{P_z}{\epsilon_0} \gamma \cos \theta \cosh \xi = N_z \frac{P_z}{\epsilon_0} z$$

$$N_z = -\sinh^2 \xi_e \left[1 + \frac{\cosh \xi_e}{2} \ln \left(\frac{\cosh \xi_e - 1}{\cosh \xi_e + 1} \right) \right]$$

$$\xi_e = \operatorname{arctanh} \left(\frac{a}{b} \right)$$

$$\mathbf{E}_{dep}^z = -\nabla \Phi_{<} = -N_z P_z \hat{\mathbf{z}}$$

The field inside an ellipsoid

- 2nd case: polarization along x-axis, the boundary conditions are

$$\Phi_{>}(\xi_e, \theta, \phi) = \Phi_{<}(\xi_e, \theta, \phi)$$

$$\left. \frac{\partial \Phi_{>}}{\partial \xi} \right|_{\xi=\xi_e} - \left. \frac{\partial \Phi_{<}}{\partial \xi} \right|_{\xi=\xi_e} = -\gamma \frac{P_x}{\epsilon_0} \cosh \xi_e \sin \theta \cos \phi$$

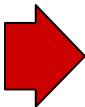
- In both regions try solution of the type

$$\Phi = \sin \theta \cos \phi f(\xi)$$


The field inside an ellipsoid

- From the Laplace equation we get

$$\frac{1}{\sinh \xi} \frac{\partial}{\partial \xi} \left(\sinh \xi \frac{\partial \Phi}{\partial \xi} \right) + \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left(\sin \theta \frac{\partial \Phi}{\partial \theta} \right) + \frac{\sinh^2 \xi + \sin^2 \theta}{\sinh^2 \xi \sin^2 \theta} \frac{\partial^2 \Phi}{\partial \phi^2} = 0$$


$$\frac{1}{\sinh \xi} \frac{d}{d\xi} \left(\sinh \xi \frac{df}{d\xi} \right) - \left(2 + \frac{1}{\sinh^2 \xi} \right) f = 0$$

$$s = \cosh \xi \rightarrow (s^2 - 1) \frac{d^2 f}{ds^2} + 2s \frac{df}{ds} - \left(\frac{2s^2 - 1}{s^2 - 1} \right) f = 0$$


$$f = A\sqrt{s^2 - 1} + B \left\{ \frac{s}{\sqrt{s^2 - 1}} + \frac{1}{2} \sqrt{s^2 - 1} \ln \left(\frac{s-1}{s+1} \right) \right\}$$

Depolarization factors

- Inside the ellipsoid only the first term is allowed. It then follows that the electric field is constant, and is in the x-direction.
- Summarizing the results, it follows that the electric field inside a uniformly polarized ellipsoid is given by the constant field

$$\mathbf{E}_{dep} = -\frac{1}{\epsilon_0} \bar{\bar{\mathbf{N}}} \cdot \mathbf{P} \quad \bar{\bar{\mathbf{N}}} = \begin{bmatrix} N_x & 0 & 0 \\ 0 & N_y & 0 \\ 0 & 0 & N_z \end{bmatrix} \quad N_x + N_y + N_z = 1$$

- In case of an ellipsoid of revolution we have due to symmetry:

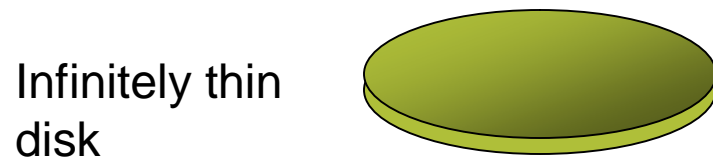
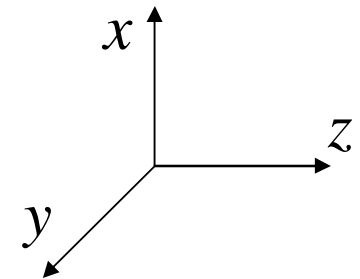
$$N_x = N_y = \frac{1}{2}(1 - N_z)$$

Depolarization factors

- Examples: some limiting cases of ellipsoids



$$N_x = N_y = N_z = \frac{1}{3}$$



$$N_x = 1 \quad N_y = N_z = 0$$



$$N_x = N_y = \frac{1}{2} \quad N_z = 0$$

The field inside an ellipsoid

- We can now easily find the (uniform) electric field inside the dielectric ellipsoid:

$$\mathbf{E} = \mathbf{E}_i^0 \exp(-j\mathbf{k}_i \cdot \mathbf{r}_0) - \frac{1}{\epsilon_0} \bar{\bar{\mathbf{N}}} \cdot \mathbf{P} \quad \mathbf{P} = (\epsilon - \epsilon_0) \mathbf{E}$$

$$\mathbf{E} = \left[\bar{\bar{\mathbf{I}}} + \delta\epsilon_r \bar{\bar{\mathbf{N}}} \right]^{-1} \cdot \mathbf{E}_i^0 \exp(-j\mathbf{k}_i \cdot \mathbf{r}_0) \quad \delta\epsilon_r = \frac{\epsilon}{\epsilon_0} - 1$$

The field inside an ellipsoid

- The scattered field

$$\mathbf{E}_s(\mathbf{r}) = -\frac{k^2 \exp(-jkr)}{4\pi r} \hat{\mathbf{k}}_s \times \left[\hat{\mathbf{k}}_s \times \delta\epsilon_r \int_V \exp(j\mathbf{k}_s \cdot \mathbf{r}') \mathbf{E}(\mathbf{r}') dV' \right]$$

- Using previous results, & assuming the particle to be small:

$$\mathbf{E}_s(\mathbf{r}) = -Vk^2 \delta\epsilon_r \frac{\exp[j(\mathbf{k}_s - \mathbf{k}_i) \cdot \mathbf{r}_0 - jkr]}{4\pi r} \hat{\mathbf{k}}_s \times \hat{\mathbf{k}}_s \times \left[\bar{\bar{\mathbf{I}}} + \delta\epsilon_r \bar{\bar{\mathbf{N}}} \right]^{-1} \cdot \mathbf{E}_i^0$$

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