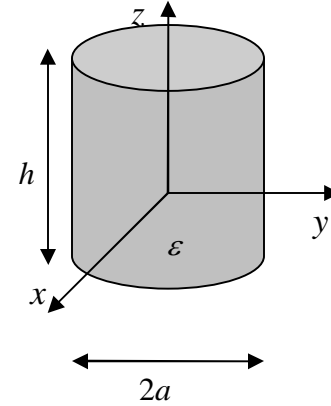


# EM Scattering

## Homework assignment 1

### Problem 1:

An incident wave travels in free space (dielectric constant  $\epsilon_0$ , permeability  $\mu_0$ , wave number  $k = \omega\sqrt{\epsilon_0\mu_0}$ ) and impinges upon a dielectric cylinder with the radius  $a$ , height  $h$ , and the relative dielectric constant  $\epsilon_d$ . The axis of the cylinder lies on the z-axis.



- Use the Born approximation to calculate the scattered electric and magnetic fields in the far-field zone in any direction  $\hat{k}_s$  when the incident plane wave is moving in the +z direction ( $\hat{k}_i = \hat{z}$ ) and the incident electric field is polarized along  $\hat{x}$ . Take the amplitude of the incident electric field to be  $E_0$ .
- Repeat this calculation for the case where  $\hat{k}_i = \hat{x}$  and the incident electric field is polarized along  $\hat{z}$ .

### Solution

In born approximation:

$$\mathbf{E}_s^f(\mathbf{r}) = \frac{\exp(-jkr)}{r} \left[ \mathbf{E}_{i,0} - (\mathbf{E}_{i,0} \cdot \hat{\mathbf{k}}_s) \hat{\mathbf{k}}_s \right] S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) \quad (1.1)$$

$$S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) = \frac{k^2}{4\pi\epsilon_0} \int_V \exp[j(\mathbf{k}_s - \mathbf{k}_i) \cdot \mathbf{r}'] \delta\epsilon_p(\mathbf{r}') dV' \quad (1.2)$$

In our case we use cylindrical coordinates in which

$$S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) = \frac{k^2(\epsilon_d - 1)}{4\pi} \int_0^a \int_0^{2\pi} \int_0^h \exp(j\mathbf{k}_d \cdot \mathbf{r}') \rho' d\rho' d\varphi' dz', \quad \mathbf{k}_d = \mathbf{k}_s - \mathbf{k}_i \quad (1.3)$$

Here we have  $\mathbf{r}' = (\rho' \cos \varphi', \rho' \sin \varphi', z')$  and

$$\mathbf{k}_d = (k_{d,\rho} \cos \phi_d, k_{d,\rho} \sin \phi_d, k_{d,z}), k_{d,\rho} = \sqrt{k_{d,x}^2 + k_{d,y}^2} \quad (1.4)$$

so that

$$\begin{aligned} \int_0^a \int_0^{2\pi} \int_0^h \exp(j\mathbf{k}_d \cdot \mathbf{r}') r' dr' d\phi' dz' &= \int_0^a \int_0^{2\pi} \int_0^h \exp[jk_{d,\rho} \rho' \cos(\phi' - \phi_d) + jk_{d,z} z'] \rho' d\rho' d\phi' dz' \\ &= \left[ \int_0^h \exp(jk_{d,z} z') dz' \right] \int_0^{2\pi} \int_0^a \exp[jk_{d,\rho} \rho' \cos(\phi' - \phi_d)] \rho' d\rho' d\phi' \end{aligned} \quad (1.5)$$

Note that

$$\int_0^{2\pi} \exp[jk_{d,\rho} \rho' \cos(\phi' - \phi_d)] d\phi' = 2\pi J_0(k_{d,\rho} \rho') \quad (1.6)$$

$$\begin{aligned} \int_0^a \int_0^{2\pi} \exp[jk_{d,\rho} \rho' \cos(\phi' - \phi_d)] \rho' d\rho' d\phi' &= 2\pi \int_0^a J_0(k_{d,\rho} \rho') \rho' d\rho' \\ &= \frac{2\pi}{k_{d,\rho}^2} \int_0^{k_{d,\rho} a} J_0(u) u du = \frac{2\pi}{k_{d,\rho}^2} u J_1(u) \Big|_0^{k_{d,\rho} a} = \frac{2\pi a}{k_{d,\rho}} J_1(k_{d,\rho} a) \end{aligned} \quad (1.7)$$

Collecting the results:

$$\begin{aligned} S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) &= \frac{k^2 (\varepsilon_d - 1)}{4\pi} \int_0^a \int_0^{2\pi} \int_0^h \exp(j\mathbf{k}_d \cdot \mathbf{r}') \rho' d\rho' d\phi' dz' \\ &= \frac{k^2 (\varepsilon_d - 1)}{4\pi} \frac{[\exp(jk_{d,z} h) - 1]}{jk_{d,z}} \frac{2\pi a}{k_{d,\rho}} J_1(k_{d,\rho} a) \\ &= k^2 a (\varepsilon_d - 1) \frac{\sin(k_{d,z} h/2)}{k_{d,z}} \frac{J_1(k_{d,\rho} a)}{k_{d,\rho}} \exp(jk_{d,z} h/2) \end{aligned} \quad (1.8)$$

- In the first question  $\mathbf{k}_i = k\hat{\mathbf{z}}$  and  $\mathbf{k}_d = \mathbf{k}_s - k\hat{\mathbf{z}}$ . Thus:  $k_{d,z} = k_{s,z} - k$ , and

$k_{d,\rho} = k_{s,\rho} = \sqrt{k_{s,x}^2 + k_{s,y}^2}$ . If one uses  $k_{s,z} = k \cos \theta_s, k_{s,\rho} = k \sin \theta_s$  then

$$S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) = a (\varepsilon_d - 1) \frac{\sin[(kh/2)(\cos \theta_s - 1)]}{\cos \theta_s - 1} \frac{J_1(ka \sin \theta_s)}{\sin \theta_s} \exp[j(kh/2)(\cos \theta_s - 1)] \quad (1.9)$$

The far field:

$$\begin{aligned}
\mathbf{E}_s^f(\mathbf{r}) &= E_0 \frac{\exp(-jkr)}{r} \left[ \hat{\mathbf{x}} - (\hat{\mathbf{x}} \cdot \hat{\mathbf{k}}_s) \hat{\mathbf{k}}_s \right] S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) \\
&= E_0 \frac{\exp(-jkr)}{r} \left[ \hat{\mathbf{x}} - \sin \theta_s \cos \phi_s \hat{\mathbf{k}}_s \right] S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i)
\end{aligned} \tag{1.10}$$

Here we have used  $\hat{\mathbf{k}}_s = (\sin \theta_s \cos \phi_s, \sin \theta_s \sin \phi_s, \cos \theta_s)$ .

- For the second problem  $\mathbf{k}_d = \mathbf{k}_s - \mathbf{k}_i = \mathbf{k}_s - k\hat{\mathbf{x}}$  so that  $k_{d,z} = k_{s,z} = k \cos \theta_s$  and

$$\begin{aligned}
k_{d,\rho} &= \sqrt{(k_{s,x} - k)^2 + k_{s,y}^2} = k \sqrt{(\sin \theta_s \cos \phi_s - 1)^2 + \sin^2 \theta_s \sin^2 \phi_s} \\
&= k \sqrt{1 + \sin^2 \theta_s - 2 \sin \theta_s \cos \phi_s}
\end{aligned}$$

As a result

$$\begin{aligned}
S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) &= a(\epsilon_d - 1) \frac{\sin[(kh/2) \cos \theta_s]}{\cos \theta_s} \frac{J_1\left(ka \sqrt{1 + \sin^2 \theta_s - 2 \sin \theta_s \cos \phi_s}\right)}{\sqrt{1 + \sin^2 \theta_s - 2 \sin \theta_s \cos \phi_s}} \\
&\exp[j(kh/2) \cos \theta_s]
\end{aligned} \tag{1.11}$$

The electric field in the far zone

$$\begin{aligned}
\mathbf{E}_s^f(\mathbf{r}) &= E_0 \frac{\exp(-jkr)}{r} \left[ \hat{\mathbf{z}} - (\hat{\mathbf{z}} \cdot \hat{\mathbf{k}}_s) \hat{\mathbf{k}}_s \right] S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) \\
&= E_0 \frac{\exp(-jkr)}{r} \left[ \hat{\mathbf{z}} - \cos \theta_s \hat{\mathbf{k}}_s \right] S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i)
\end{aligned} \tag{1.12}$$

### **Problem 2:**

Using the Born approximation calculate the scattering cross section from a dielectric sphere with the dielectric constant  $\epsilon_d$  whose radius  $a$  is much smaller than the wavelength in vacuum. Next, compute the scattering cross section by using the optical theorem and compare the results.

### **Solution**

For a dielectric sphere we use the result derived in the power point slides:

$$S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) = \frac{k^2(\epsilon_r - 1)}{k_d^3} \left[ \sin(k_d a) - k_d a \cos(k_d a) \right] \tag{1.13}$$

The far electric field in Born approximation equals:

$$\mathbf{E}_s^f(\mathbf{r}) = \frac{\exp(-jkr)}{r} \left[ \mathbf{E}_{i,0} - (\mathbf{E}_{i,0} \cdot \hat{\mathbf{k}}_s) \hat{\mathbf{k}}_s \right] S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) \quad (1.14)$$

Let the incident wave travel along z and be polarized along x (this will be general enough due to the symmetry of the structure). Then  $\mathbf{k}_d = \mathbf{k}_s - \mathbf{k}_i = k_s - k\hat{z}$  and

$$\mathbf{E}_{i,0} - (\mathbf{E}_{i,0} \cdot \hat{\mathbf{k}}_s) \hat{\mathbf{k}}_s = E_0 \left[ \hat{\mathbf{x}} - (\hat{\mathbf{x}} \cdot \hat{\mathbf{k}}_s) \hat{\mathbf{k}}_s \right] \quad (1.15)$$

Once more, let us write  $\hat{\mathbf{k}}_s = (\sin \theta_s \cos \phi_s, \sin \theta_s \sin \phi_s, \cos \theta_s)$ . Then  $k_d = 2k \sin(\theta_s/2)$  and

$$\hat{\mathbf{x}} - (\hat{\mathbf{x}} \cdot \hat{\mathbf{k}}_s) \hat{\mathbf{k}}_s = \hat{\mathbf{x}} - \sin \theta_s \cos \phi_s \hat{\mathbf{k}}_s \quad (1.16)$$

To calculate the scattering cross section we need to know the total power scattered. This is given by (with  $\mathbf{r} = r\hat{\mathbf{k}}_s$ )

$$\begin{aligned} P_s &= \frac{r^2}{2\eta} \int_0^\pi \int_0^{2\pi} \left| \mathbf{E}_s^f(\mathbf{r}) \right|^2 \sin \theta_s d\theta_s d\phi_s \\ &= \frac{|E_0|^2}{2\eta} \int_0^\pi \int_0^{2\pi} \left| \hat{\mathbf{x}} - \sin \theta_s \cos \phi_s \hat{\mathbf{k}}_s \right|^2 \left| S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) \right|^2 \sin \theta_s d\theta_s d\phi_s \end{aligned} \quad (1.17)$$

Note that

$$\left| \hat{\mathbf{x}} - \sin \theta_s \cos \phi_s \hat{\mathbf{k}}_s \right|^2 = 1 - \sin^2 \theta_s \cos^2 \phi_s \quad (1.18)$$

Although this integral may be computed analytically, it is rather cumbersome. Therefore we use the fact that the sphere is very small compared to the wavelength so that  $ka \ll 1$  and

$$S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) = \frac{k^2(\epsilon_r - 1)}{k_d^3} \left[ \sin(k_d a) - k_d a \cos(k_d a) \right] \sim \frac{k^2(\epsilon_r - 1)a^3}{3} \quad (1.19)$$

This allows us to write:

$$\begin{aligned}
P_s &= \frac{r^2}{2\eta} \int_0^\pi \int_0^{2\pi} \left| \mathbf{E}_s^f(\mathbf{r}) \right|^2 \sin \theta_s d\theta_s d\phi_s \\
&= \frac{|E_0|^2}{2\eta} \frac{k^4 (\epsilon_r - 1)^2 a^6}{9} \int_0^\pi \int_0^{2\pi} (1 - \sin^2 \theta_s \cos^2 \phi_s) \sin \theta_s d\theta_s d\phi_s \\
&= \frac{|E_0|^2}{2\eta} \frac{\pi k^4 (\epsilon_r - 1)^2 a^6}{9} \int_0^\pi (1 + \cos^2 \theta_s) \sin \theta_s d\theta_s \\
&= \frac{|E_0|^2}{2\eta} \frac{\pi k^4 (\epsilon_r - 1)^2 a^6}{9} \frac{8}{3}
\end{aligned} \tag{1.20}$$

The scattering cross section:

$$\sigma_t = \frac{8\pi (\epsilon_r - 1)^2 k^4 a^6}{3 \cdot 9} \tag{1.21}$$

Next we use the optical theorem:

$$\sigma_t = \frac{\eta}{|\mathbf{E}_{i,0}|^2} \text{Re} \left[ \mathbf{E}_{i,0}^* \cdot \mathbf{F}_\perp(\hat{\mathbf{z}}) \right] \tag{1.22}$$

$$\begin{aligned}
\mathbf{F}(\hat{\mathbf{k}}_s) &= \int_V \exp(j\mathbf{k}_s \cdot \mathbf{r}') \mathbf{J}(\mathbf{r}') dV' \\
&= j\omega\epsilon_0 (\epsilon_d - 1) \int_V \exp(j\mathbf{k}_s \cdot \mathbf{r}') \mathbf{E}(\mathbf{r}') dV' \\
&\sim j \frac{k}{\eta} (\epsilon_d - 1) \mathbf{E}_0^i \int_V \exp(j\mathbf{k}_d \cdot \mathbf{r}') dV'
\end{aligned} \tag{1.23}$$

Comparison with

$$S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) = \frac{k^2 (\epsilon_d - 1)}{4\pi} \int_V \exp(j\mathbf{k}_d \cdot \mathbf{r}') dV' \tag{1.24}$$

shows that

$$\mathbf{F}(\hat{\mathbf{k}}_s) \sim j\mathbf{E}_{i,0} \frac{4\pi}{k} S(\hat{\mathbf{k}}_s, \hat{\mathbf{k}}_i) \sim j\mathbf{E}_{i,0} \frac{4\pi k (\epsilon_r - 1) a^3}{3} \tag{1.25}$$

Next consider:

$$\mathbf{F}_\perp = \mathbf{F} - (\hat{\mathbf{k}}_s \cdot \mathbf{F}) \hat{\mathbf{k}}_s = j \frac{4\pi k (\epsilon_r - 1) a^3}{3} \left[ \mathbf{E}_{i,0} - (\hat{\mathbf{k}}_s \cdot \mathbf{E}_{i,0}) \hat{\mathbf{k}}_s \right] \tag{1.26}$$

For evaluating  $\mathbf{F}_\perp(\hat{\mathbf{z}})$  we have to put  $\hat{\mathbf{k}}_s = \hat{\mathbf{z}}$ . Since the incident electric field has no component along z we have

$$\mathbf{F}_\perp(\hat{\mathbf{z}}) = j \frac{4\pi k (\epsilon_r - 1) a^3}{3} \mathbf{E}_{i,0} \quad (1.27)$$

And, therefore,

$$\sigma_t = \frac{\eta}{|\mathbf{E}_{i,0}|^2} \operatorname{Re}[\mathbf{E}_{i,0}^* \cdot \mathbf{F}_\perp(\hat{\mathbf{z}})] = \frac{4\pi k (\epsilon_r - 1) a^3}{3} \frac{\eta}{|\mathbf{E}_{i,0}|^2} \operatorname{Re}[j \mathbf{E}_{i,0}^* \cdot \mathbf{E}_{i,0}] = 0! \quad (1.28)$$

The scattering cross section which is obtained from the optical theorem is zero. This is because the Born approximation gives a result for the electric field which is correct up to the first order in  $\epsilon_r - 1$ . When calculating the cross section directly we use the magnitude-squared of the far electric field which is of the order  $(\epsilon_r - 1)^2$ . But the optical theorem directly involves  $\mathbf{F}_\perp$  which, in the Born approximation, is only calculated up to the first order. Of course it cannot reproduce a quantity of the order  $(\epsilon_r - 1)^2$ . For that we have to go beyond the Born approximation in the optical theorem which is exact in principle.

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