Phase noise

MMIC Design and Technology

Ali Medi

Outline

Introduction
Phase Noise
Output Phase Noise Spectrum
On chip Inductors
Advanced On Chip Inductor

Outline

Introduction Phase Noise Output Phase Noise Spec Output Phase Noise Spec Output Phase Noise Spec Advanced On Chip Induct

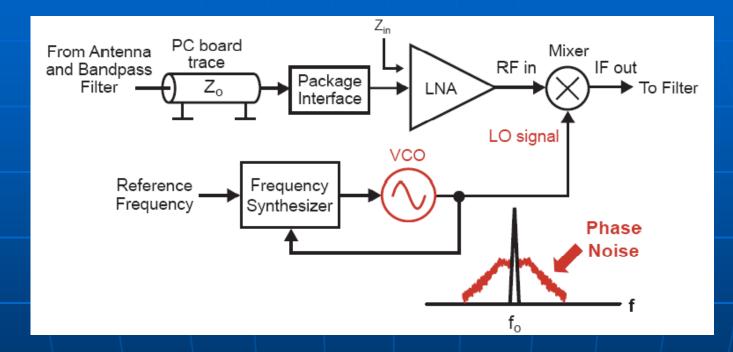
Introduction

- Virtually every component in the system can seriously degrade the phase noise (intrinsic noise).
- In addition, phase noise can result from undesired and often unexpected interaction between components.
- Most MMIC manufacturers do not supply phase noise data and experimentation is usually required.

Outline



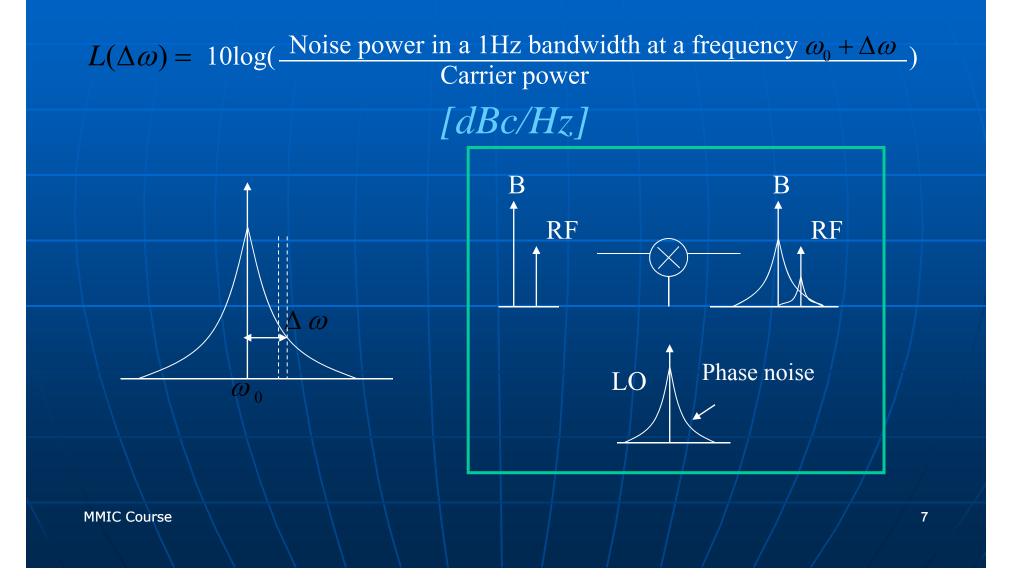
Phase Noise in Wireless Systems



 VCO noise has a negative impact on system performance

- Receiver: lower sensitivity, poorer blocking performance
- Noise is characterized in frequency domain

Phase noise



Phase noise

Noise Power density increase due to blocking signal: $P_{n,b} = P_b L\{f_{LO} - f_b\} = P_b L\{\Delta f\}$

 $C / I = S_{désiré} [dBm] - (S_{bl} (\Delta f_c) [dBm] L (\Delta f_c) + 10 \log B)$

 $L(\Delta f_c)[dBc/Hz] < S_{désiré}[dBm] - S_{bl}(\Delta f_c)[dBm] - C/I_{\min}[dB] - 10\log B$

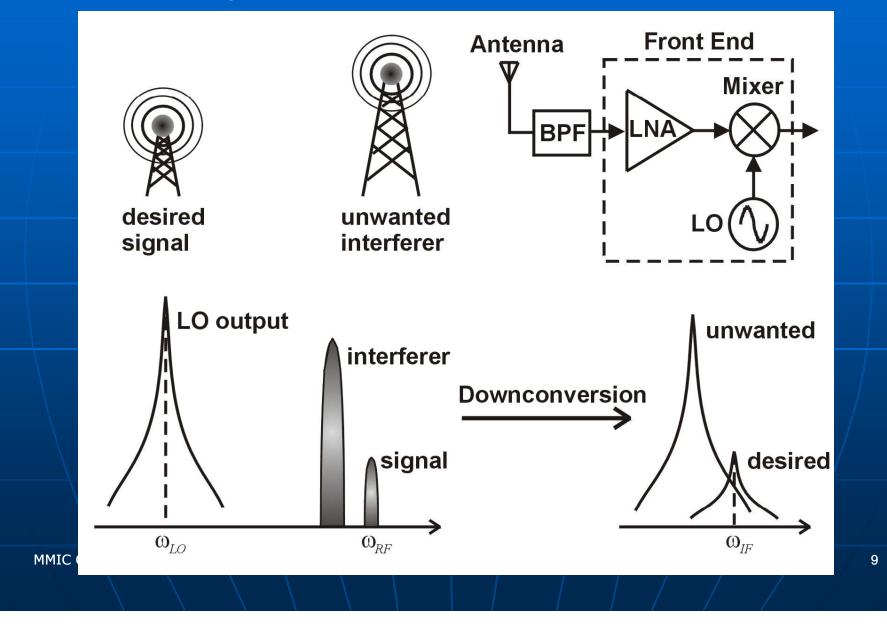
Blocking signals at:

•250kHz \Rightarrow $L_1(250kHz) < -72dBc/Hz \Rightarrow L_1(500kHz) = -72dBc/Hz + 20\log\frac{250}{500}$

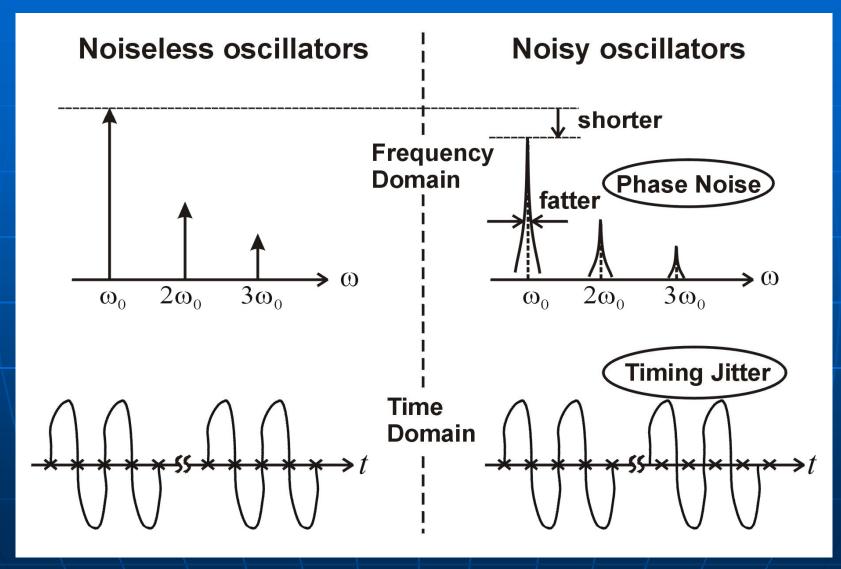


< -78 dBc / Hz

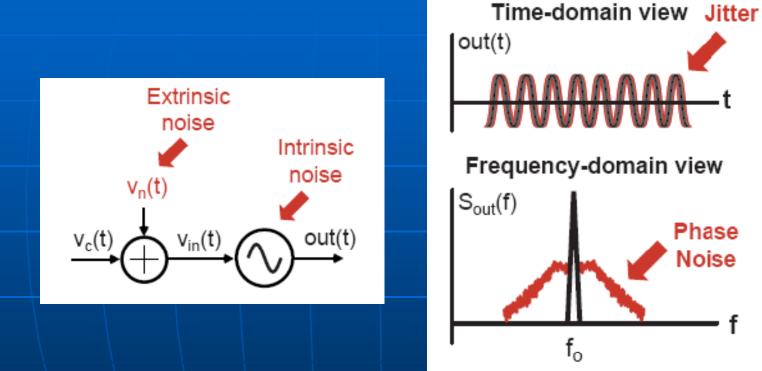
Frequency-Reference Noise (Phase Noise)



Oscillator Phase Noise



Noise Sources Impacting Phase Noise



Extrinsic noise - Noise from other circuits (including PLL)
 Intrinsic noise - Noise due to the VCO circuitry

Measurement of Phase Noise in dBc/Hz

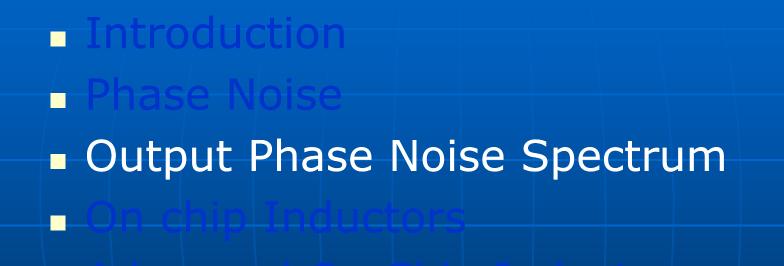
Definition of L(f)

 $L(f) = 10 \log \left(\frac{\text{Spectral density of noise}}{\text{Power of carrier}} \right)$

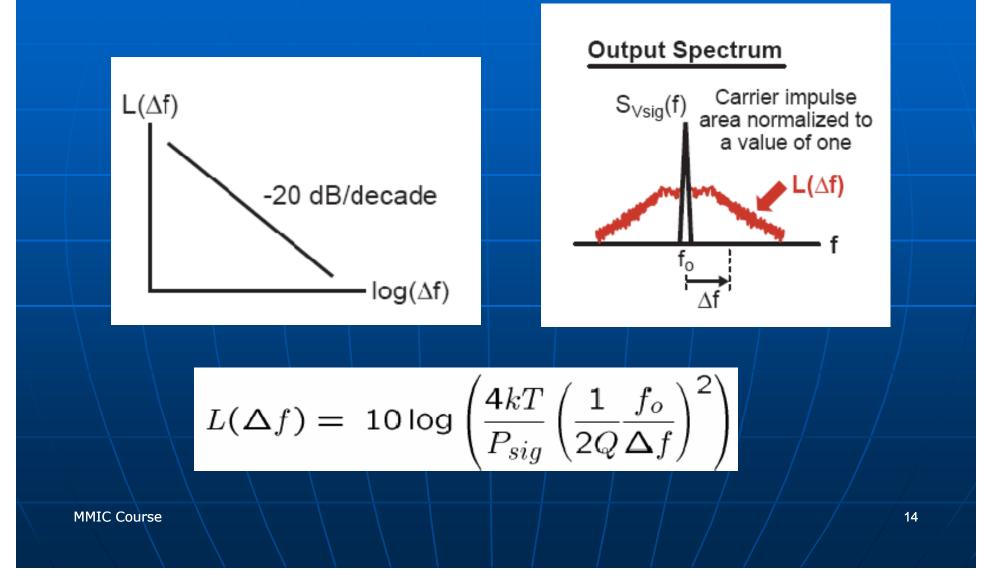
Units are dBc/Hz

$$L(\Delta f) = 10 \log \left(\frac{S_{noise}(\Delta f)}{P_{sig}} \right)$$



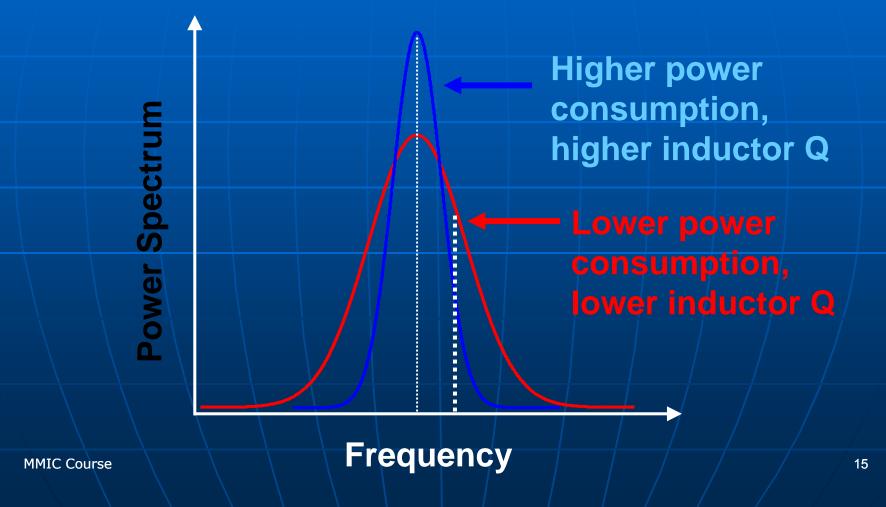


Output Phase Noise Spectrum

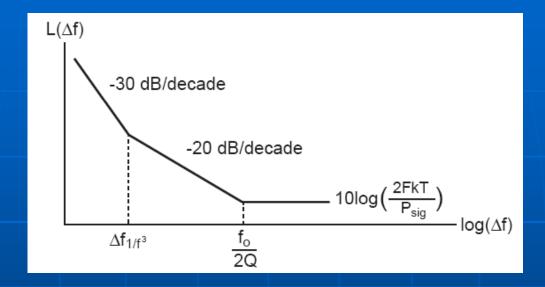


Oscillator Phase Noise

Effect of power consumption and inductor quality on oscillator

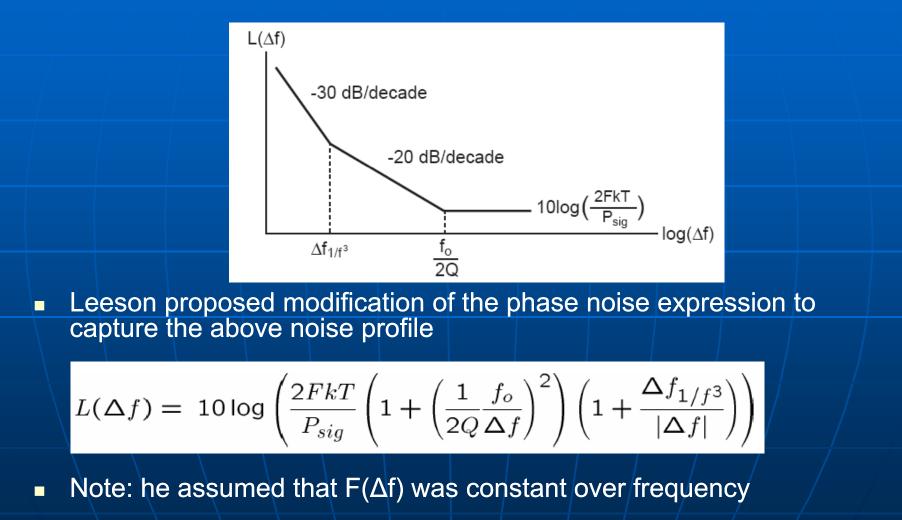


Phase Noise of A Practical Oscillator

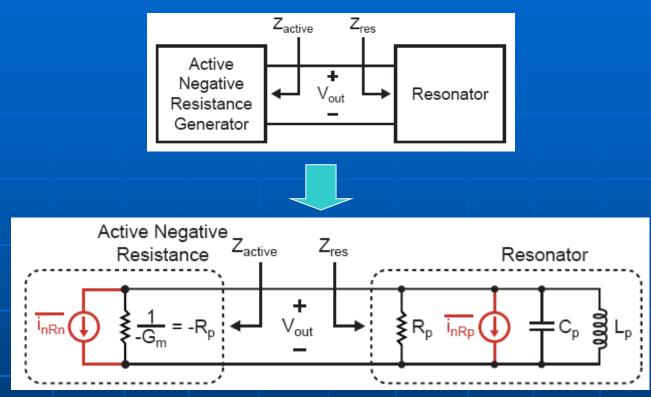


- Phase noise drops at -20 dB/decade over a wide frequency range, but deviates from this at:
 - Low frequencies slope increases (often -30 dB/decade)
 - High frequencies slope flattens out (oscillator tank does not filter all noise sources)
- Frequency breakpoints and magnitude scaling are not readily predicted by the analysis approach taken so far.

Phase Noise of A Practical Oscillator

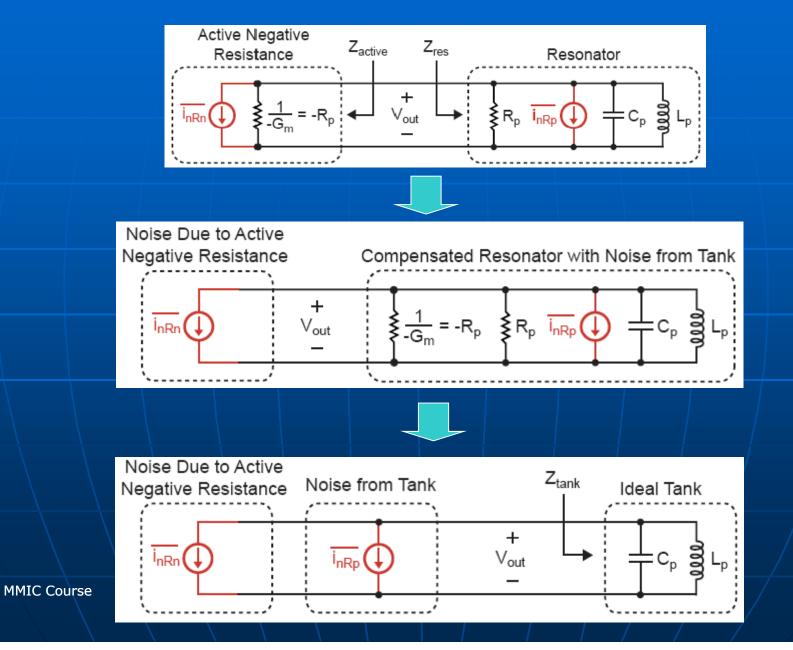


Calculation of Intrinsic Phase Noise in Oscillators



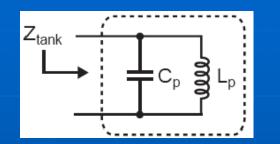
- Noise sources in oscillators are put in two categories
- Noise due to tank loss
- Noise due to active negative resistance
- We want to determine how these noise sources influence the phase noise of the oscillator

Equivalent Model for Noise Calculations



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Calculate Impedance Across Ideal LC Tank Circuit



$$Z_{tank}(w) = \frac{1}{jwC_p} ||jwL_p| = \frac{jwL_p}{1 - w^2L_pC_p}$$

 $\frac{j}{2} \frac{1}{w_o C_p} \Big($

 w_o

 Δw

Calculate input impedance about resonance

• Consider:

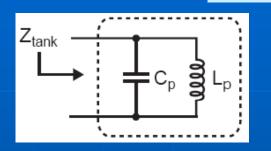
r:
$$w = w_o + \Delta w$$
, where $w_o = \frac{1}{\sqrt{L_p C_p}}$

$$Z_{tank}(\Delta w) = \frac{j(w_o + \Delta w)L_p}{1 - (w_o + \Delta w)^2 L_p C_p}$$

$$\frac{j(w_o + \Delta w)L_p}{\frac{1 - w_o^2 L_p C_p}{= 0} - 2\Delta w(w_o L_p C_p) - \frac{\Delta w^2 L_p C_p}{\text{negligible}}} \approx \frac{j(w_o + \Delta w)L_p}{-2\Delta w(w_o L_p C_p)}$$

$$Z_{tank}(\Delta w) pprox rac{j w_o L_p}{-2 \Delta w (w_o L_p C_p)}$$

A Convenient Parameterization of LC Tank Impedance



$$Z_{tank}(\Delta w) \approx -\frac{j}{2} \frac{1}{w_o C_p} \left(\frac{w_o}{\Delta w}\right)$$

Actual tank has loss that is modeled with Rp
 Define Q according to actual tank

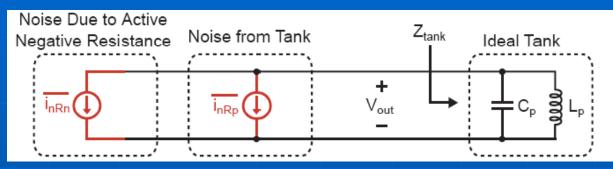
$$Q = R_p w_o C_p \quad \Rightarrow \quad \frac{1}{w_o C_p} = \frac{R_p}{Q}$$

Parameterize ideal tank impedance in terms of Q of actual tank

$$Z_{tank}(\Delta w) \approx -\frac{j}{2} \frac{R_p}{Q} \left(\frac{w_o}{\Delta w} \right)$$

$$|Z_{tank}(\Delta f)|^2 \approx \left(\frac{R_p}{2Q}\frac{f_o}{\Delta f}\right)^2$$

Overall Noise Output Spectral Density



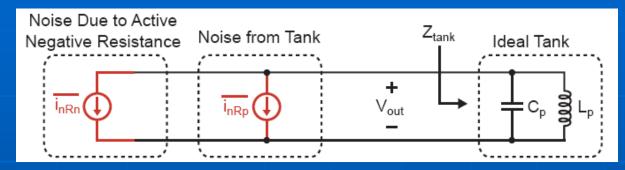
 Assume noise from active negative resistance element and tank are uncorrelated

$$\frac{\overline{v_{out}^2}}{\Delta f} = \left(\frac{\overline{i_{nRp}^2}}{\Delta f} + \frac{\overline{i_{nRn}^2}}{\Delta f}\right) |Z_{tank}(\Delta f)|^2$$

$$= \frac{\overline{i_{nRp}^2}}{\Delta f} \left(1 + \frac{\overline{i_{nRn}^2}}{\Delta f} / \frac{\overline{i_{nRp}^2}}{\Delta f}\right) |Z_{tank}(\Delta f)|^2$$

 Note that the above expression represents total noise that impacts both amplitude and phase of oscillator output

Parameterize Noise Output Spectral Density



From previous slide

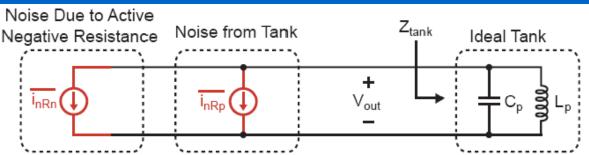
$$\frac{\overline{v_{out}^2}}{\Delta f} = \frac{\overline{i_{nRp}^2}}{\Delta f} \left(1 + \frac{\overline{i_{nRn}^2}}{\Delta f} / \frac{\overline{i_{nRp}^2}}{\Delta f} \right) |Z_{tank}(\Delta f)|^2$$

F(∆**f**)

F(Δf) is defined as

 $F(\Delta f) = \frac{\text{total noise in tank at frequency } \Delta f}{\text{noise in tank due to tank loss at frequency } \Delta f}$

Fill in Expressions



Noise from tank is due to resistor Rp

$$\frac{\overline{i_{nRp}^2}}{\Delta f} = 4kT\frac{1}{R_p}$$

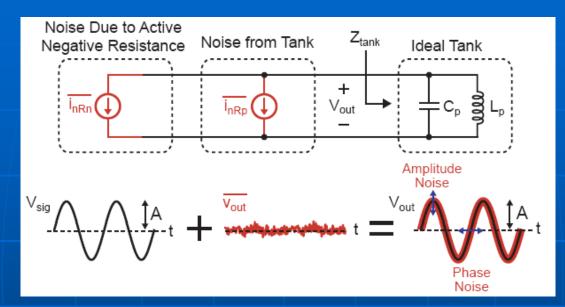
Ztank(Δf) found previously

$$|Z_{tank}(\Delta f)|^2 \approx \left(\frac{R_p}{2Q}\frac{f_o}{\Delta f}\right)^2$$

Output noise spectral density expression (single-

$$\overline{\frac{v_{out}^2}{\Delta f}} = 4kT \frac{1}{R_p} F(\Delta f) \left(\frac{R_p}{2Q} \frac{f_o}{\Delta f}\right)^2 = 4kTF(\Delta f)R_p \left(\frac{1}{2Q} \frac{f_o}{\Delta f}\right)$$

Separation into Amplitude and Phase Noise



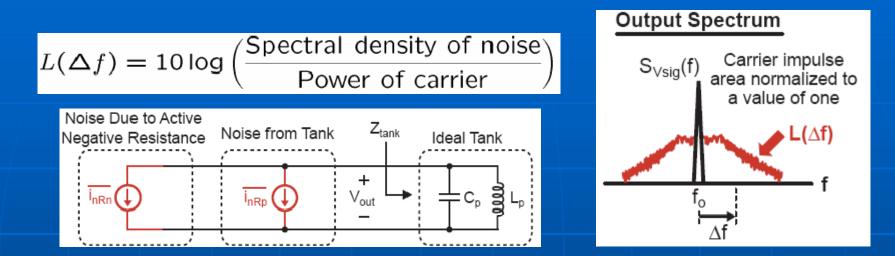
- Noise impact splits evenly between amplitude and phase
 - Amplitude variations suppressed by feedback in oscillator

$$\frac{\overline{v_{out}^2}}{\Delta f}\Big|_{\text{phase}} = \frac{2kTF(\Delta f)R_p\left(\frac{1}{2Q}\frac{f_o}{\Delta f}\right)^2}{2kTF(\Delta f)R_p\left(\frac{1}{2Q}\frac{f_o}{\Delta f}\right)^2}$$

MMIC Course

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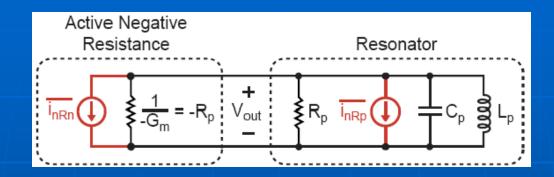
Output Phase Noise Spectrum (Leeson's Formula)



 All power calculations are referenced to the tank loss resistance, Rp

$$P_{sig} = \frac{V_{sig,rms}^2}{R_p} = \frac{(A/\sqrt{2})^2}{R_p}, \quad S_{noise}(\Delta f) = \frac{1}{R_p} \frac{\overline{v_{out}^2}}{\Delta f}$$
$$L(\Delta f) = 10 \log\left(\frac{S_{noise}(\Delta f)}{P_{sig}}\right) = 10 \log\left(\frac{2kTF(\Delta f)}{P_{sig}} \left(\frac{1}{2Q} \frac{f_o}{\Delta f}\right)^2\right)$$

Example: Active Noise Same as Tank Noise

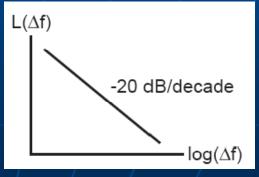


Assume:

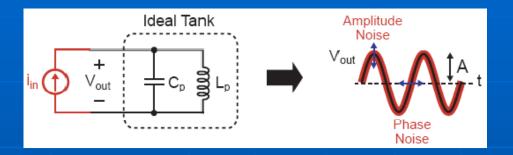
$$F(\Delta f) = 1 + \frac{\overline{i_{nRn}^2}}{\Delta f} / \frac{\overline{i_{nRp}^2}}{\Delta f} = 2$$

Resulting phase noise

$$L(\Delta f) = 10 \log \left(\frac{4kT}{P_{sig}} \left(\frac{1}{2Q} \frac{f_o}{\Delta f} \right)^2 \right)$$



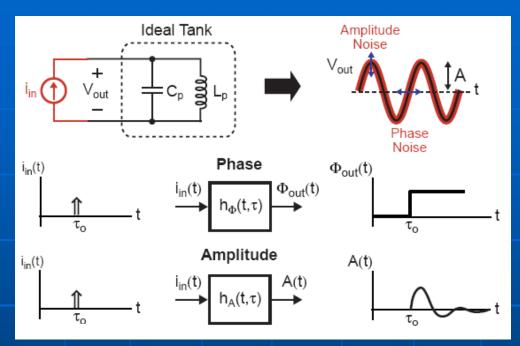
A More Sophisticated Analysis Method



 Our concern is what happens when noise current produces a voltage across the tank

- Such voltage deviations give rise to both amplitude and phase noise
- Amplitude noise is suppressed through feedback (or by amplitude limiting in following buffer stages)
 - Our main concern is phase noise

Modeling of Phase and Amplitude Perturbations

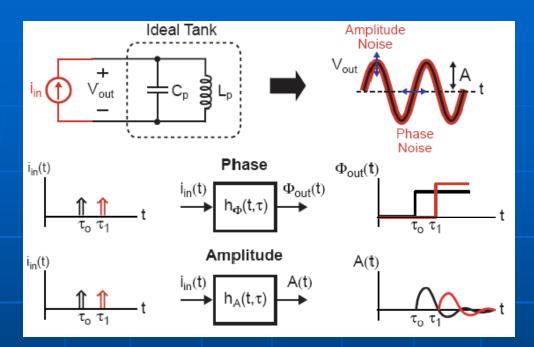


Modeling of Phase and Amplitude Perturbations

 Characterize impact of current noise on amplitude and phase through their associated impulse responses

- Phase deviations are accumulated
- Amplitude deviations are suppressed

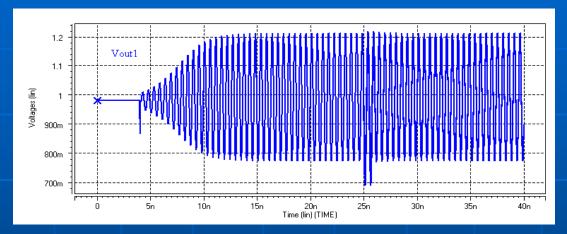
Impact of Noise Current is Time-Varying

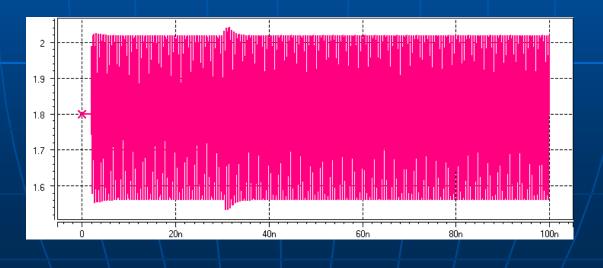


 If we vary the time at which the current impulse is injected, its impact on phase and amplitude changes

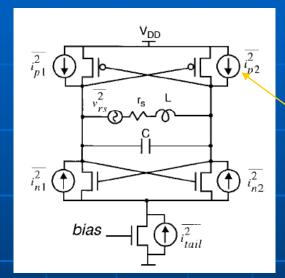
Need a time-varying model

Amplitude Perturbations

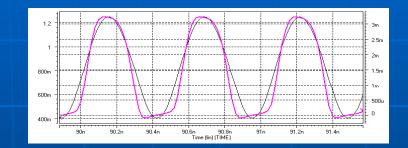




Noise Impact in Simulation



Complementary *LC* oscillator with noise sources



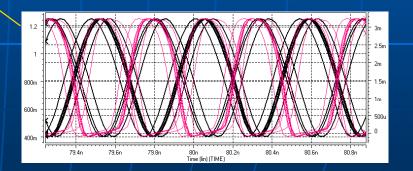
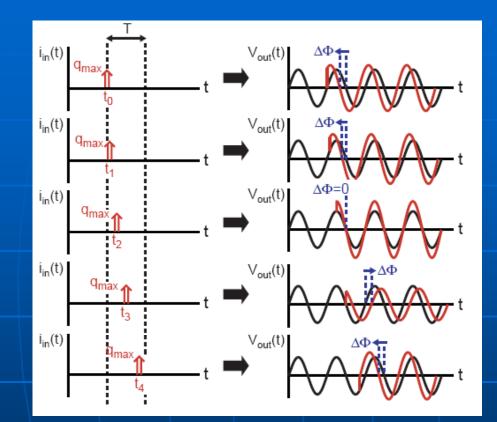
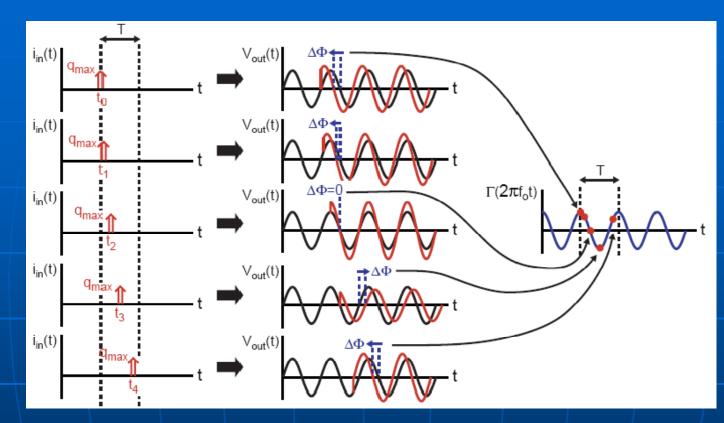


Illustration of Time-Varying Impact of Noise on Phase



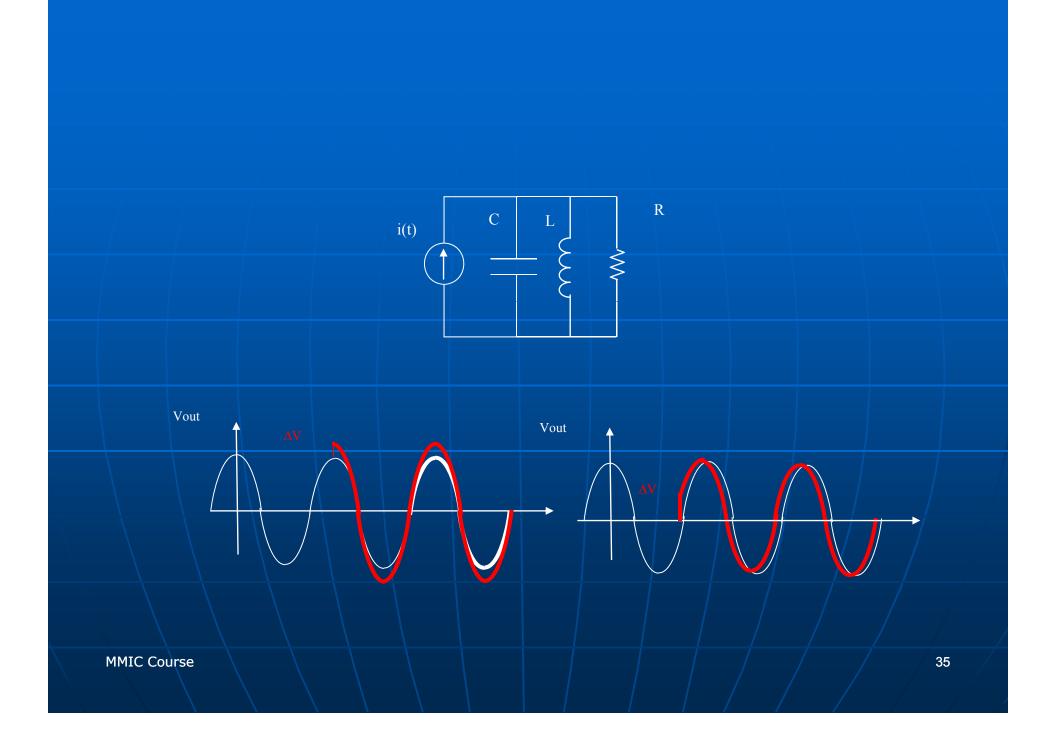
- High impact on phase when impulse occurs close to the zero crossing of the VCO output
- Low impact on phase when impulse occurs at peak of output

<u>Define Impulse Sensitivity Function (ISF) – $\Gamma(2\pi fot)$ </u>

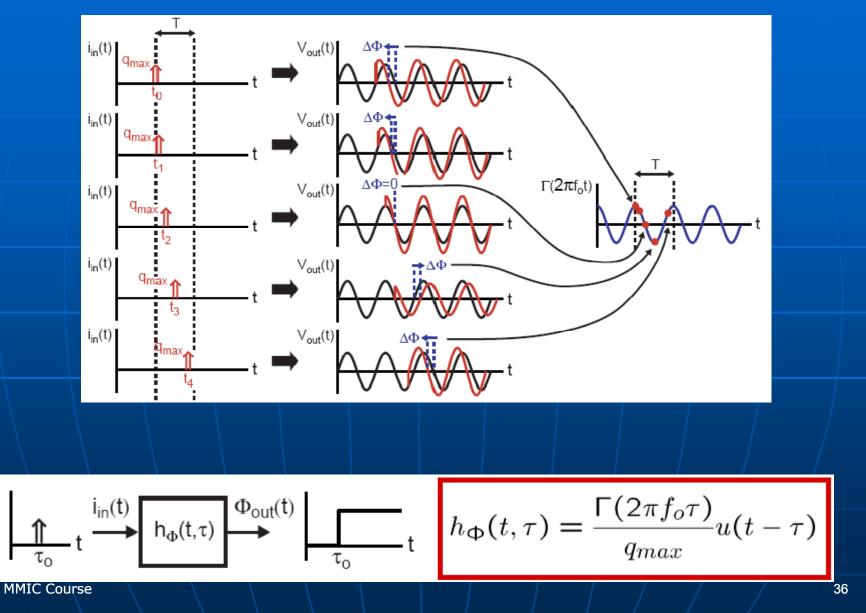


 ISF constructed by calculating phase deviations as impulse position is varied

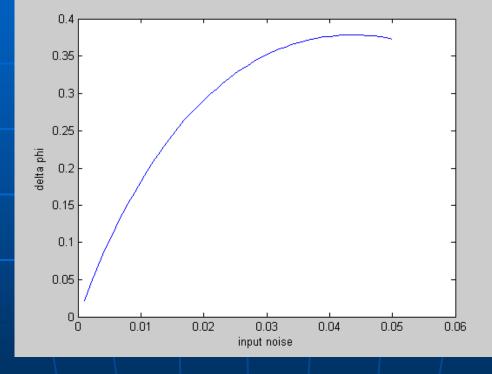
 Observe that it is periodic with same period as VCO output



Parameterize Phase Impulse Response in Terms of ISF

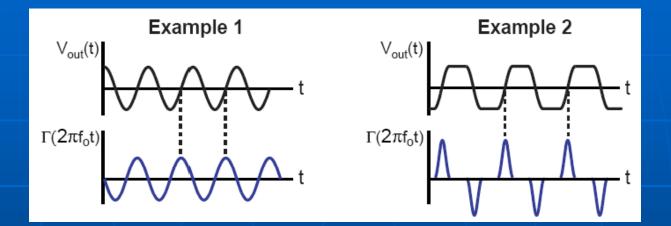


Linear Property of the Phase Function in Simulation

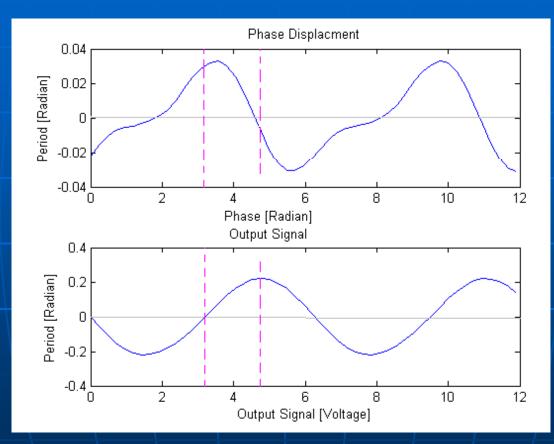


Phase shift versus injected charge for a cross coupled oscillator

Examples of ISF for Different VCO Output Waveforms



- ISF (i.e., Γ) is approximately proportional to derivative of VCO output waveform
 - Its magnitude indicates where VCO waveform is most sensitive to noise current into tank with respect to creating phase noise
- ISF is periodic
- In practice, derive it from simulation of the VCO



Excess phase and voltage in the output of cross coupled oscillator

Phase Noise Analysis Using LTV Framework

$$h_{\Phi}(t,\tau) \longrightarrow \Phi_{out}(t)$$

 Computation of phase deviation for an arbitrary noise current input

$$\Phi_{out}(t) = \int_{-\infty}^{\infty} h_{\Phi}(t,\tau) i_n(\tau) d\tau = \frac{1}{q_{max}} \int_{-\infty}^{t} \Gamma(2\pi f_o \tau) i_n(\tau) d\tau$$

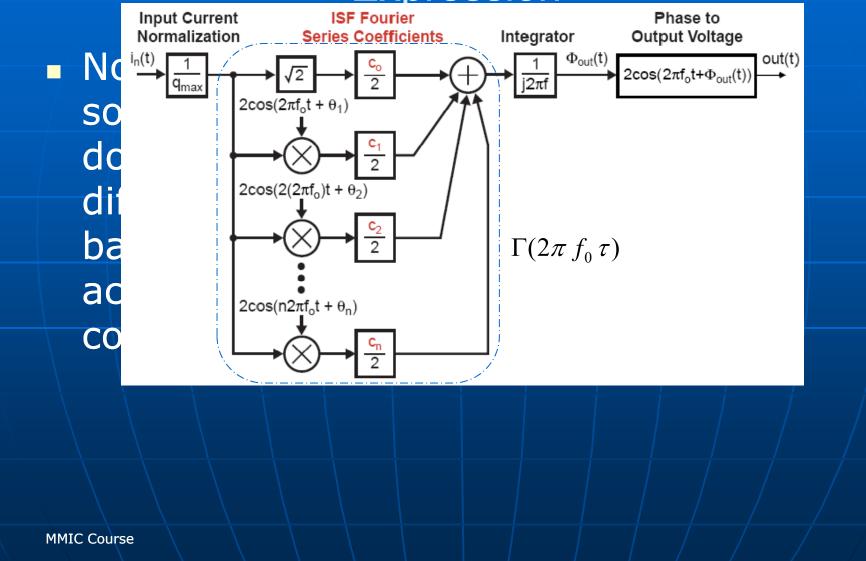
 Analysis simplified if we describe ISF in terms of its Fourier series

$$\Gamma(2\pi f_o \tau) = \frac{c_o}{\sqrt{2}} + \sum_{n=1}^{\infty} c_n \cos(n2\pi f_o \tau + \theta_n)$$

$$\Phi_{out}(t) = \int_{-\infty}^{t} \left(\frac{c_o}{\sqrt{2}} + \sum_{n=1}^{\infty} c_n \cos(n2\pi f_o \tau + \theta_n) \right) \frac{i_n(\tau)}{q_{max}} d\tau$$

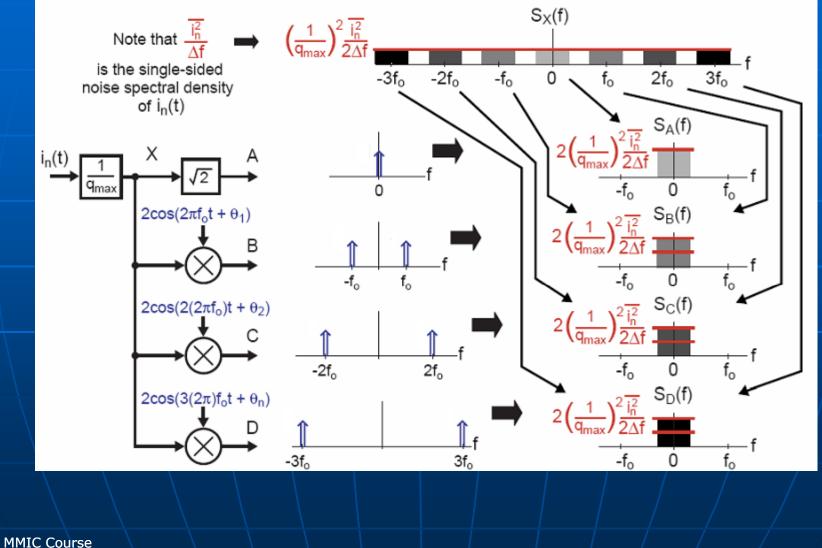
Block Diagram of LTV Phase Noise

Expression



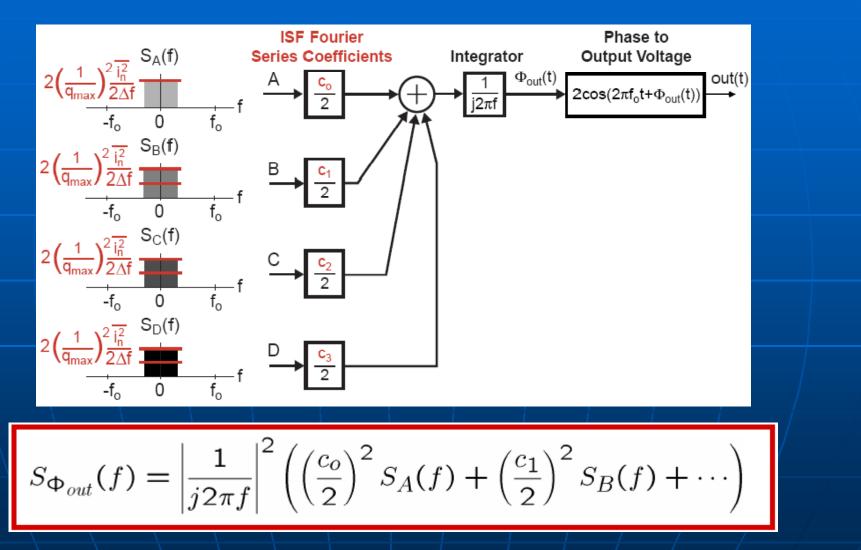
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Phase Noise Calculation for White Noise Input (Part 1)



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Phase Noise Calculation for White Noise Input (Part 2)



Spectral Density of Phase Signal

From the previous slide

$$S_{\Phi_{out}}(f) = \left(\frac{1}{2\pi f}\right)^2 \left(\left(\frac{c_o}{2}\right)^2 S_A(f) + \left(\frac{c_1}{2}\right)^2 S_B(f) + \cdots\right)$$

Substitute in for SA(f), SB(f), etc.

$$S_{\Phi_{out}}(f) = \left(\frac{1}{2\pi f}\right)^2 \left(\left(\frac{c_0}{2}\right)^2 + \left(\frac{c_1}{2}\right)^2 + \cdots\right) 2 \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{2\Delta f}$$

Resulting expression

$$S_{\Phi_{out}}(f) = \left(\frac{1}{2\pi f}\right)^2 \left(\sum_{n=0}^{\infty} c_n^2\right) \frac{1}{4} \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{\Delta f}$$

Output Phase Noise

We now know

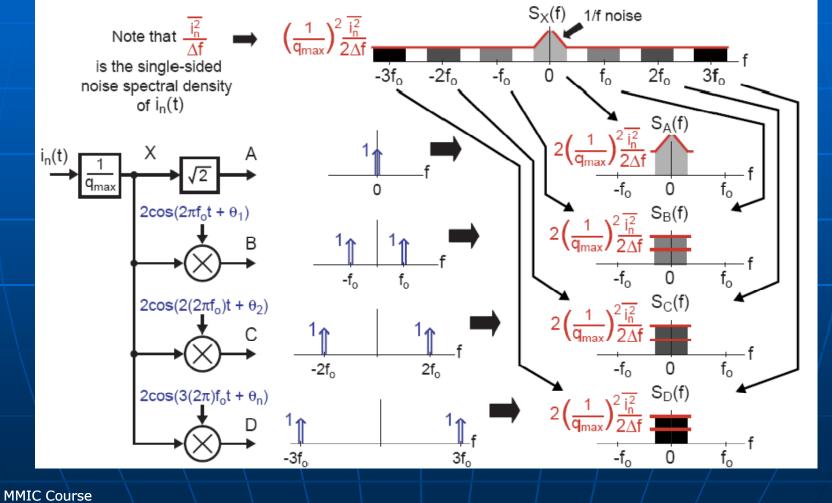
$$S_{\Phi_{out}}(f) = \left|\frac{1}{2\pi f}\right|^2 \left(\sum_{n=0}^{\infty} c_n^2\right) \frac{1}{4} \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{\Delta f}$$

$$L(\Delta f) = 10 \log(S_{\Phi_{out}}(\Delta f))$$

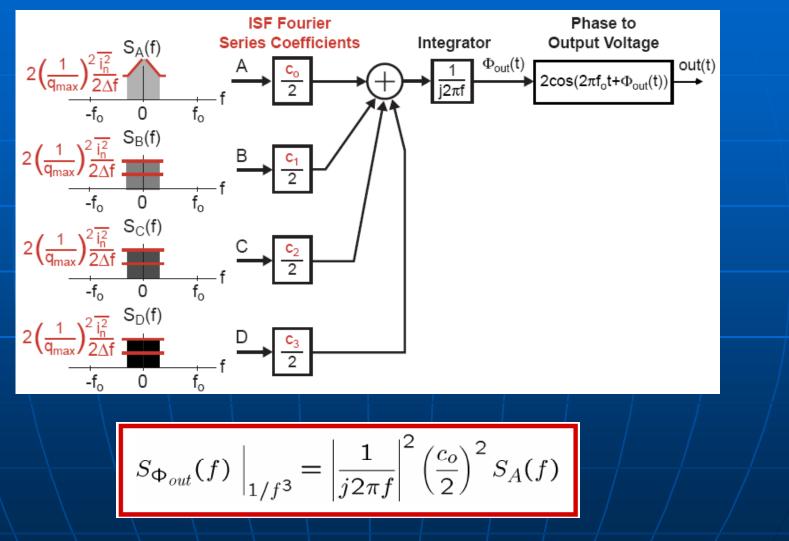
Resulting phase noise

$$L(\Delta f) = 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(\sum_{n=0}^{\infty} c_n^2 \right) \frac{1}{4} \left(\frac{1}{q_{max}} \right)^2 \frac{\overline{i_n^2}}{\Delta f} \right)$$

The Impact of 1/f Noise in Input Current (Part 1)



The Impact of 1/f Noise in Input Current (Part 2)



Calculation of Output Phase Noise in 1/f³region

From the previous slide

$$S_{\Phi_{out}}(f) \Big|_{1/f^3} = \left(\frac{1}{2\pi f}\right)^2 \left(\frac{c_o}{2}\right)^2 S_A(f)$$

 Assume that input current has 1/f noise with corner frequency f_{1/f}

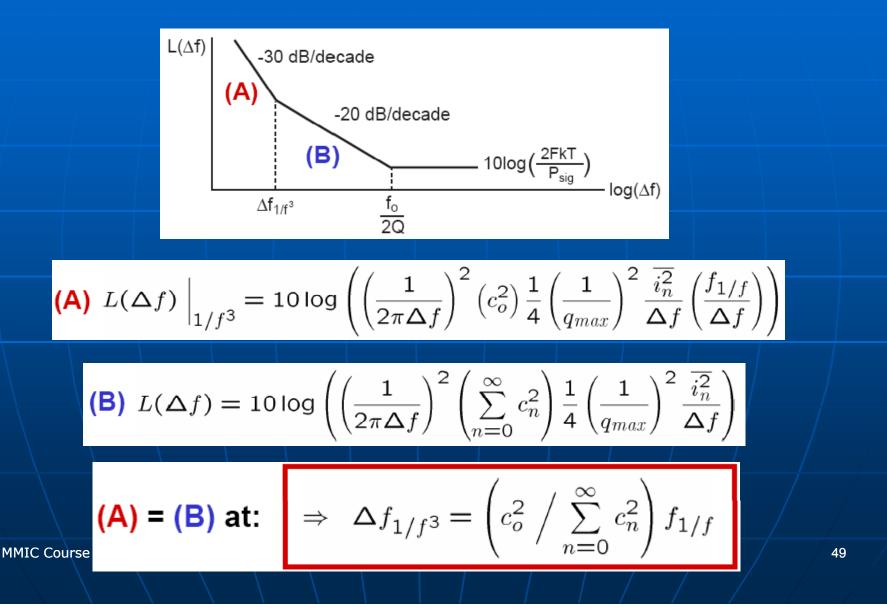
$$S_A(f) = \left(\frac{1}{q_{max}}\right)^2 \frac{\overline{i_n^2}}{\Delta f} \left(\frac{f_{1/f}}{\Delta f}\right)$$

Corresponding output phase noise

$$L(\Delta f) \Big|_{1/f^3} = 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(\frac{c_o}{2} \right)^2 S_A(f) \right)$$

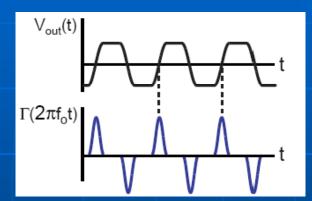
 $= 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(c_o^2 \right) \frac{1}{4} \left(\frac{1}{q_{max}} \right)^2 \frac{\overline{i_n^2}}{\Delta f} \left(\frac{f_{1/f}}{\Delta f} \right)^2 \right)$

Calculation of 1/f³Corner Frequency

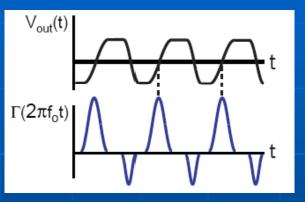


Impact of Oscillator Waveform on 1/f³ Phase Noise

ISF for Symmetric Waveform



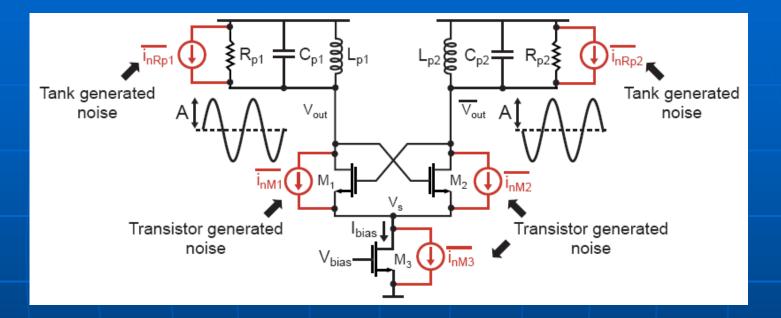
ISF for Asymmetric Waveform



- Key Fourier series coefficient of ISF for 1/f3 noise is co
 - If DC value of ISF is zero, co is also zero
- For symmetric oscillator output waveform
 - DC value of ISF is zero no up-conversion of flicker noise! (i.e. output phase noise does not have 1/f3 region)

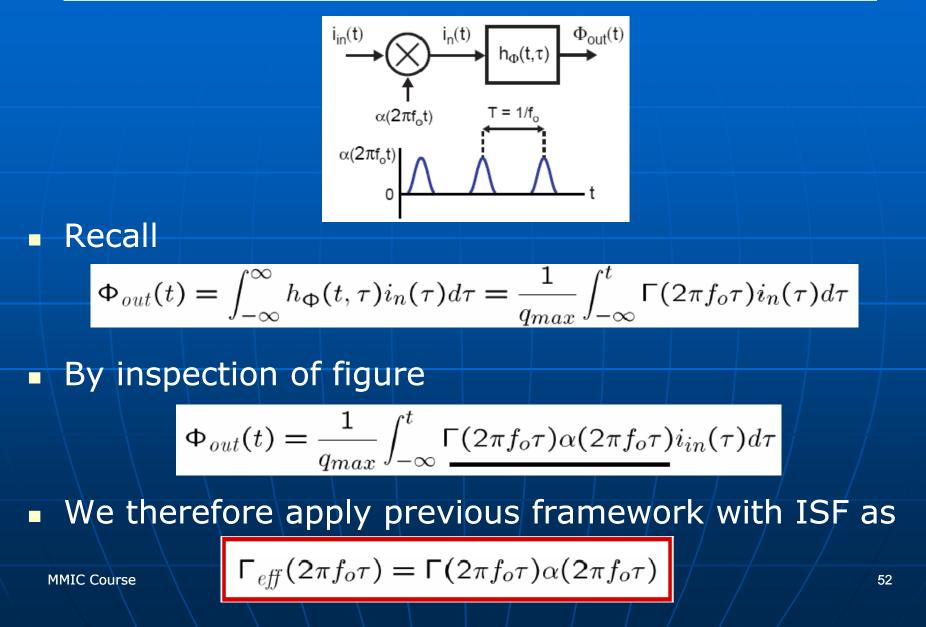
For asymmetric oscillator output waveform
 DC value of ISF is non-zero - flicker noise has impact

Issue – We Have Ignored Modulation of Current Noise

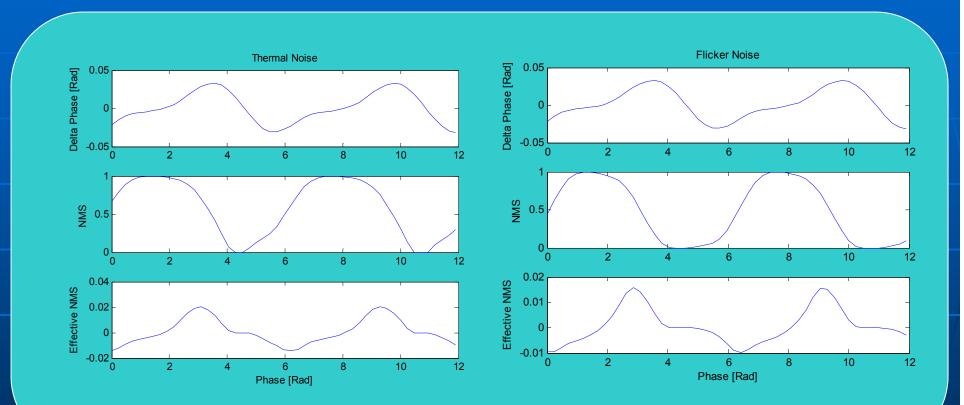


- In practice, transistor generated noise is modulated by the varying bias conditions of its associated transistor
 - As transistor goes from saturation to triode to cutoff, its associated noise changes dramatically
- Can we include this issue in the LTV framework?

Inclusion of Current Noise Modulation



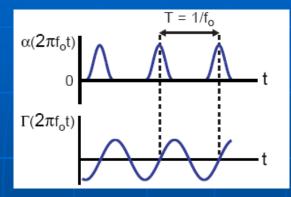
Noise Modulation in Simulation



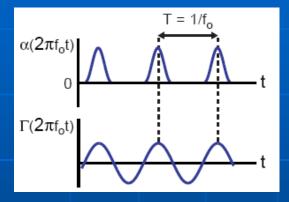
ISF, NMF, and effective ISF waveforms of cross coupled oscillator

Placement of Current Modulation for Best Phase Noise

Best Placement of Current Modulation for Phase Noise



Worst Placement of Current Modulation for Phase Noise

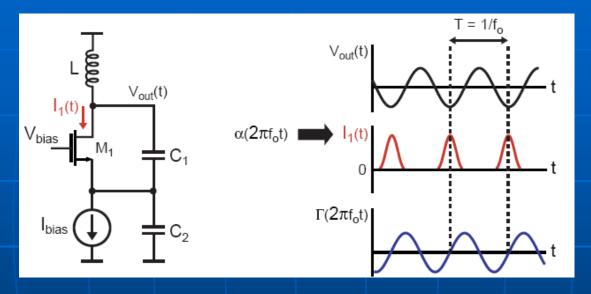


Phase noise expression (ignoring 1/f noise)

$$L(\Delta f) = 10 \log \left(\left(\frac{1}{2\pi\Delta f} \right)^2 \left(\sum_{n=0}^{\infty} c_n^2 \right) \frac{1}{4} \left(\frac{1}{q_{max}} \right)^2 \frac{\overline{i_n^2}}{\Delta f} \right)$$

Minimum phase noise achieved by minimizing sum of square of Fourier series coefficients (i.e. rms value of *Ceff*) MMIC Course 54

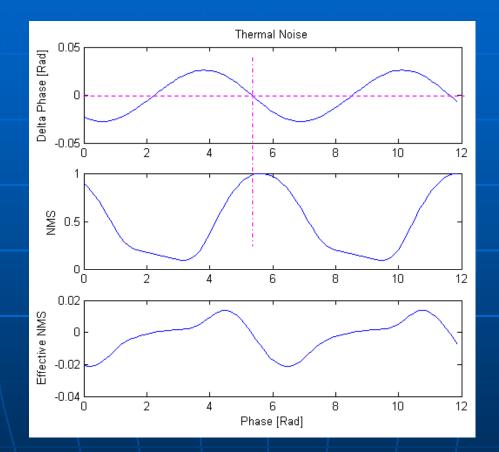
<u>Colpitts Oscillator Provides Optimal Placement of α</u>



 Current is injected into tank at bottom portion of VCO swing

 Current noise accompanying current has minimal impact on VCO output phase

Noise Modulation in Collpits



ISF, NMF, and effective ISF waveforms of colpitts oscillator

Summary of LTV Phase Noise Analysis Method

- Step 1: calculate the impulse sensitivity function of each oscillator noise source using a simulator
- Step 2: calculate the noise current modulation waveform for each oscillator noise source using a simulator
- Step 3: combine above results to obtain Γeff(2πf₀t) for each oscillator noise source
- Step 4: calculate Fourier series coefficients for each Γeff(2πf₀t)
- Step 5: calculate spectral density of each oscillator noise source
- Step 6: calculate overall output phase noise using the results from

Outline

Introduction Phase Noise Output Phase Noise On chip Inductors Advanced On Chip



On chip Inductors

- In contrast with digital circuits which use mainly active devices, on-chip passive components are necessary and imperative adjuncts to most RF electronics. These components include inductors, capacitors, varactors, and resistors
- For example, the Nokia 6161 cellphone contains 15 IC's with 232 capacitors, 149 resistors, and 24 inductors
- Inductors in particular are critical components in low noise amplifiers, oscillators.
- The lack of an accurate and scalable model for on-chip spiral inductors presents a challenging problem for RF IC's designers

Quality Factor and Self-Resonant Frequency

The quality factor Q is an extremely important figure of merit for the inductor at high frequencies. The most fundamental definition for Q is

 $Q = \omega \cdot \left(\frac{EnergyStored}{AveragePowerDissipated} \right)$

Basically, it describes how good an inductor can work as an energy-storage element.

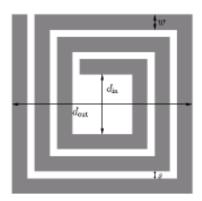
Self-resonant frequency f_{SR} marks the point where the inductor turns to capacitive.

L

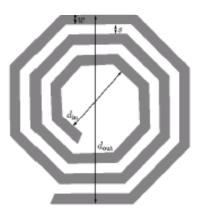
Off chip resonator properties:

- Highest Q.
- Interfacing from on chip active devices to off chip tank circuits at frequencies in GHz range is quite difficult.
- Consume valuable board space.
- Application example: below 1GHz.

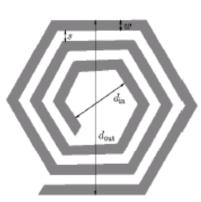
Inductor's Structures



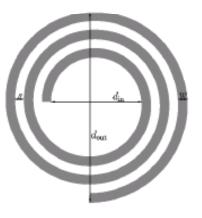
(a) Square Spiral



(c) Octagonal Spiral



(b) Hexagonal Spiral



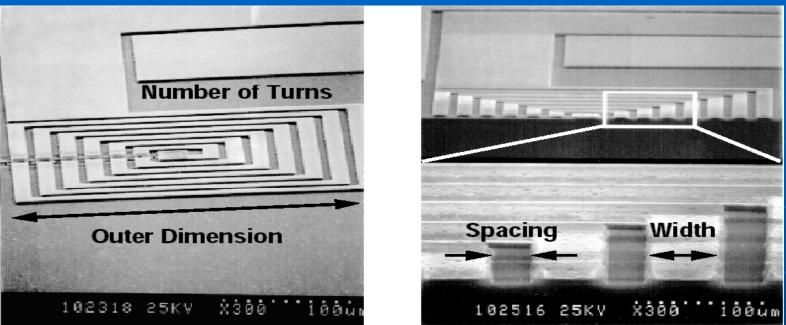
(d) Circular Spiral

For hexagonal and octagonal inductors, less metal length is needed to achieve the same number of turns. Thus series resistance is compressed and Q factor improved.

On the other hand, the square shaped inductor will be more area efficient.

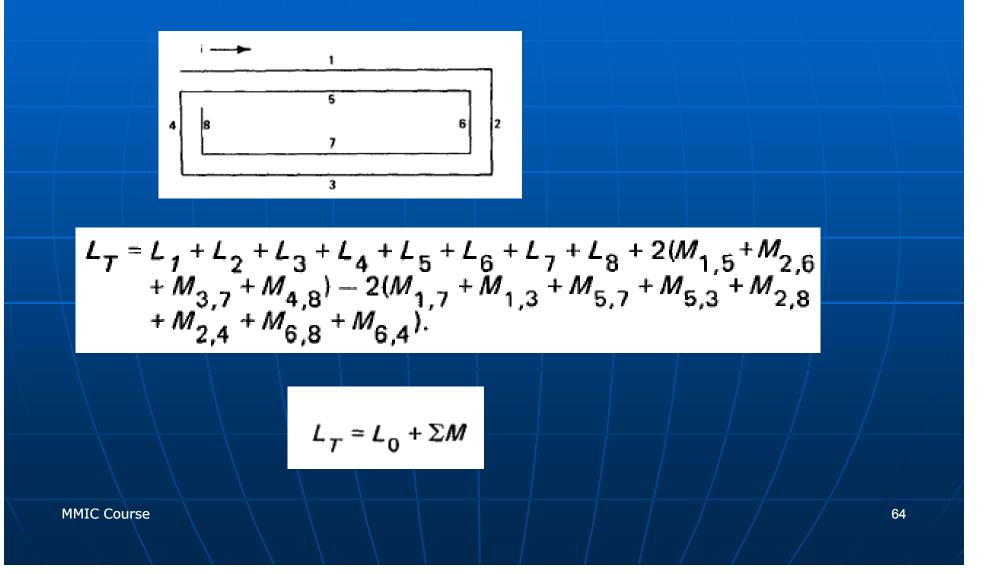
For example, for a square area on the wafer, square shape will utilize 100% of the area, whereas hexagonal, octagonal and circular shapes use 65%, 82.8% and 78.5% respectively

Typical Square Shaped Spiral Inductor built on Si Substrate

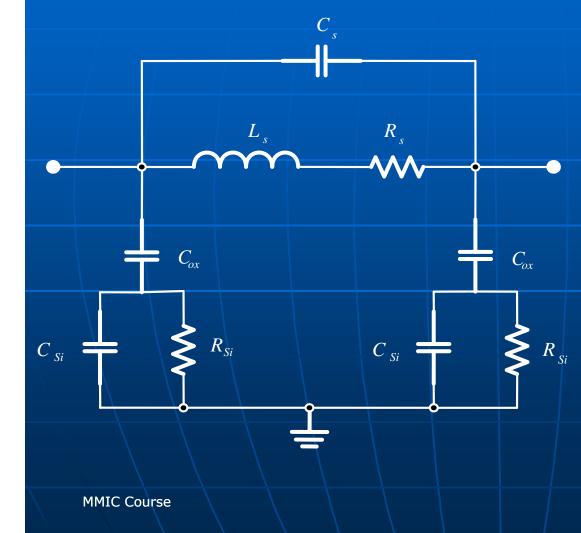


- On-chip spiral inductors are used when a relatively small inductance (i.e., several nH) is needed. Otherwise off-chip inductors are used
- Performance of the spiral inductor depends on the number of turns, line width, spacing, pattern shape, number of metal layers, oxide thickness and conductivity of substrate
 MMIC Course

Green House Method



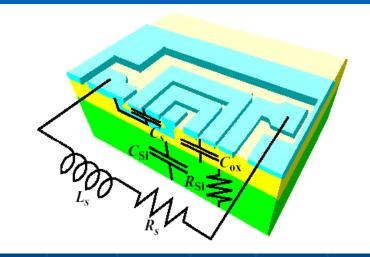
Equivalent Circuit of a Lumped (Single-π) Model for Spiral Inductors



Except for the series inductance, all components in the model are parasitics of the inductor and need to be minimized

This model is widely used, but it is not very accurate and not scalable

Components of Lumped Model



 R_{Si} and C_{Si} are the coupling resistance and capacitance associated with Si substrate

L_S consists of the self inductance, positive mutual inductance, and negative mutual inductance

C_S is the capacitance between metal lines

 $\rm R_S$ is the series resistance of the metal line

C_{ox} is the capacitance of oxide layer underneath the spiral

Outline

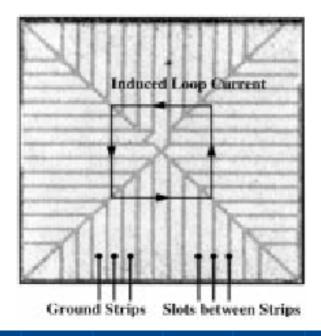
Introduction

Phase Noise

Advanced On Chip Inductor

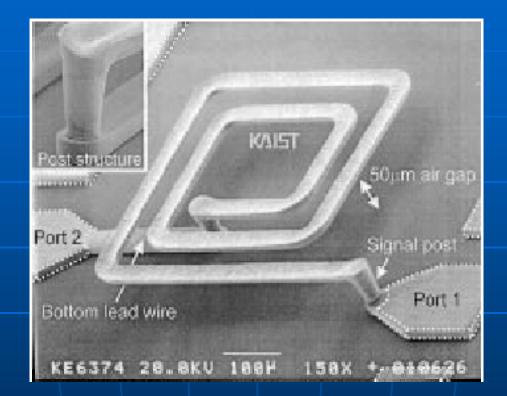


Structure with patterned ground shield



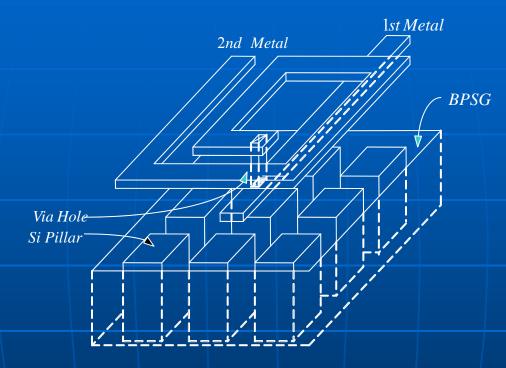
- Ground shielding reduces the effective distance between the spiral and ground and thus reduces the substrate resistance.
- Solid ground shield (SGS) can reflect EM field in the substrate and reduce Q factor. Patterned ground shield (PGS).
- Drawback: increase coupling capacitance due to an reduced distance between the metal and ground.

Structure with Suspended Spiral



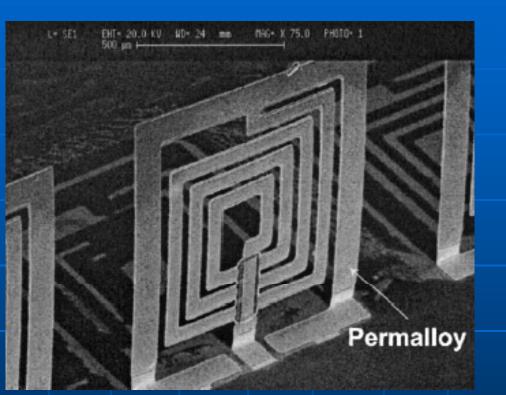
Inductor suspended above the structure to reduce the substrate coupling resistance and capacitance

Structure with Substrate Removal



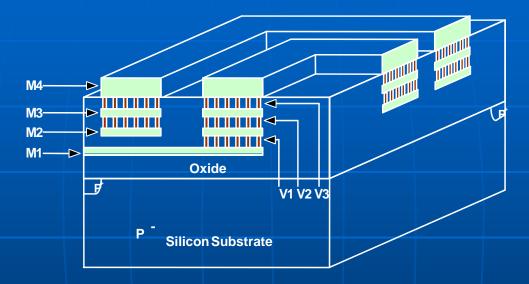
Portions of substrate are moved using deep-trench technology to reduce the substrate coupling resistance and capacitance.

Structure with Vertical Spiral



Spiral is placed vertically on the substrate to reduce magnetic field coupling to substrate.

Structure with Multiple Metal Layers and Vertical Shunt



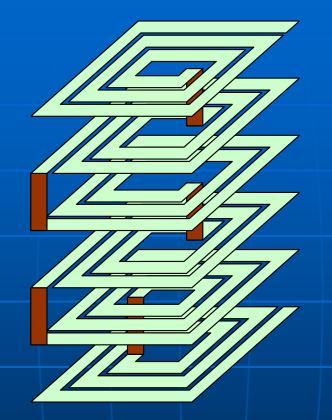
The series resistance is reduced with increasing number of vertical shunt among the metal layers. But this approach can increase C_{OX} and thus reduce the self-resonant frequency.

Structure with Tapered Line Width



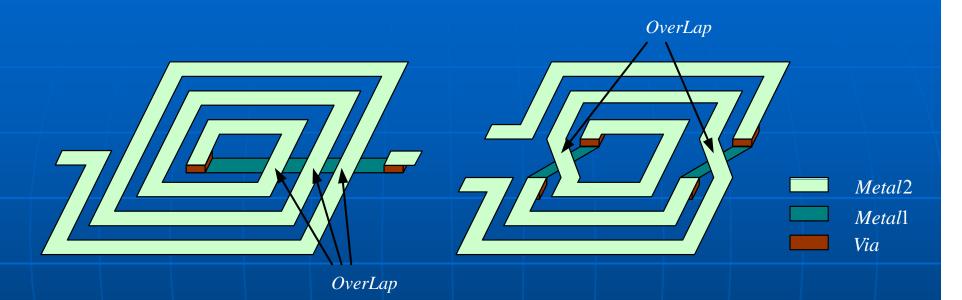
EM loss is most significant in center of spiral. The metal line width is tapered to reduce the magnetically induced losses in the inner turns.

Structure with Stacked Metal Layers



The stacked structure increases effective metal length, which increases the inductance without increasing the chip area

Structure with Non-Symmetrical and Symmetrical Winding



The symmetrical winding improves the RF performance because

- It has less overlap which reduces the Cs and
- The geometric center is exactly the magnetic and electric center, which increases the mutual inductance

Future Works

Intrinsic Mesfet noises
Phase noise in different topology
Inductors in GaAs



References

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