

Session 5: Solid State Physics

**Charge Mobility**  
**Drift**  
**Diffusion**  
**Recombination-Generation**

# Outline

1. Introduction	□□□□□□□□
2. Crystal	□□□□□□□□□□
3. Cubic Lattices	□□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

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- ◎ A
  - B
  - C
  - D
  - E
- ◎ F
  - G
- ◎ H
- ◎ I
- ◎ J

# Mobile Charge Carriers in Semiconductors

1. Introduction	██████████
2. Crystal	██████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

Three primary types of carrier action occur inside a semiconductor:

Drift: charged particle motion under the influence of an electric field.

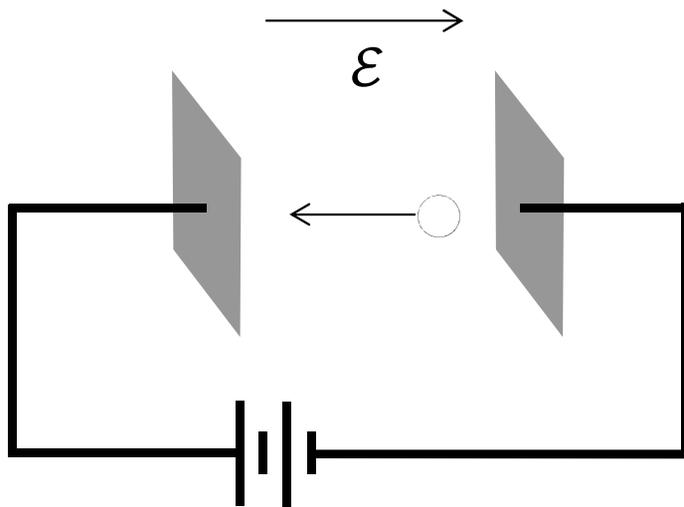
Diffusion: particle motion due to concentration gradient or temperature gradient.

Recombination-generation (R-G)

# Electrons as Moving Particles

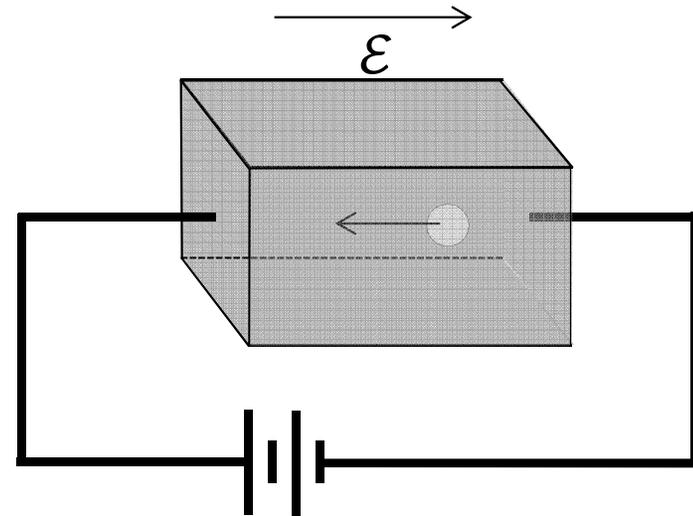
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2. Crystal	▣▣▣▣▣▣▣▣▣▣▣▣▣▣
3. Cubic Lattices	▣▣▣▣▣▣▣▣
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5. Miller Indices	▣▣▣▣▣

In vacuum



$$F = (-q)\mathcal{E} = m_0 a$$

In semiconductor



$$F = (-q)\mathcal{E} = m_n^* a$$

where  $m_n^*$  is the electron effective mass

# Thermal Velocity

1. Introduction	██████████
2. Crystal	██████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

We saw that:

In an electric field,  $\mathcal{E}$ , an electron or a hole accelerates

electrons:  $a = \frac{-q\mathcal{E}}{m_n^*}$

holes:  $a = \frac{q\mathcal{E}}{m_p^*}$

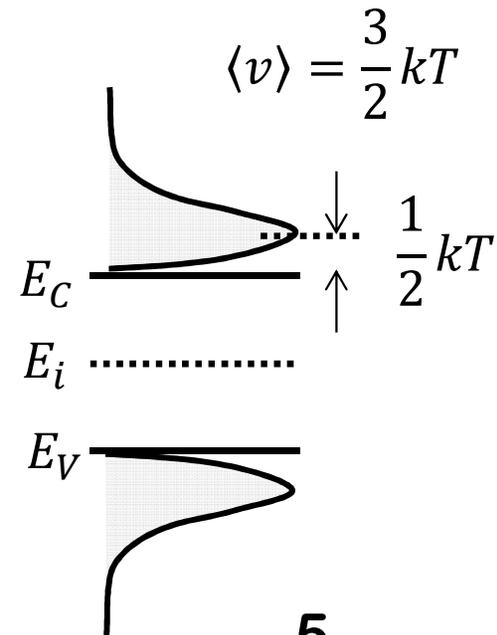
electron and hole effective masses

	Si	Ge	GaAs
$m_n^*/m_0$	0.26	0.12	0.068
$m_p^*/m_0$	0.39	0.3	0.5

Average electron kinetic energy =  $\frac{3}{2}kT = \frac{1}{2}m_n^*v_{th}^2$

$$v_{th} = \sqrt{\frac{3kT}{m_n^*}} = \sqrt{\frac{3 \times 0.026eV \times 1.6 \times 10^{-19}J/eV}{0.26 \times 9.1 \times 10^{-31}Kg}}$$

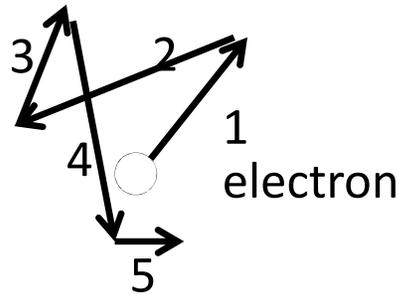
$$= 2.3 \times 10^5 m/s = 2.3 \times 10^7 cm/s$$



# Carrier Scattering

1. Introduction	██████████
2. Crystal	██████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

Mobile electrons and atoms in the Si lattice are always in random thermal motion. Electrons make frequent collisions with the vibrating atoms called “lattice scattering” or “phonon scattering” (increases with increasing temperature)  
Average velocity of thermal motion for electrons:  $\sim 10^7$  cm/s @ 300K



Other scattering mechanisms:

deflection by ionized impurity atoms

deflection due to Columbic force between carriers (carrier-carrier scattering)

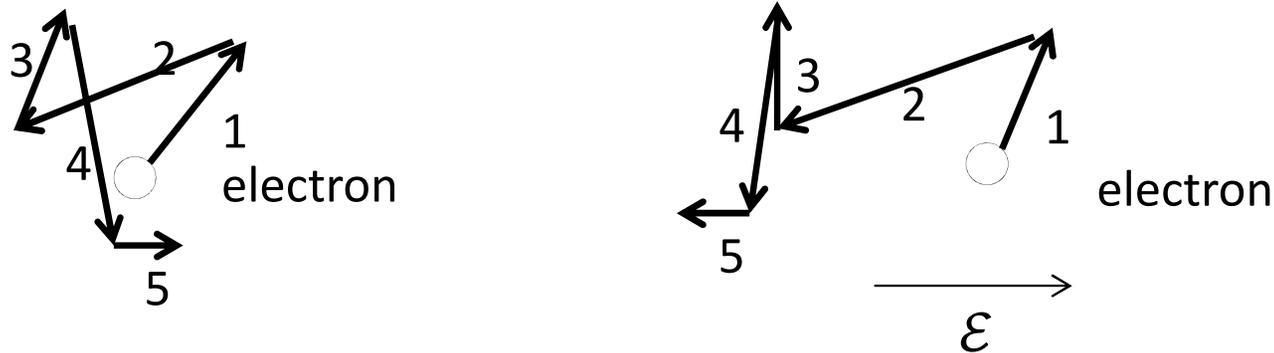
only significant at high carrier concentrations

The net current in any direction is zero, if no electric field is applied.

# Carrier Drift

1. Introduction	██████████
2. Crystal	██████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

When an electric field (e.g. due to an externally applied voltage) is applied to a semiconductor, mobile charge carriers will be accelerated by the electrostatic force. This force superimposes on the random motion of electrons:



Electrons drift in the direction opposite to the electric field  
→ current flows

Because of scattering, electrons in a semiconductor do not achieve constant acceleration. However, they can be viewed as quasi-classical particles moving at a constant average drift velocity  $v_d$

# Carrier Mobility

1. Introduction	██████████
2. Crystal	██████████████████
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4. Other	████
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With every collision, the electron loses momentum =  $m_n^* v_d$

Between collisions, the electron gains momentum =  $(-q)\mathcal{E}\bar{\tau}$

$\bar{\tau}$  is the average time between electron scattering events  
(mean time between collisions)

In steady state

$$m_n^* v_d = (-q)\mathcal{E}\bar{\tau} \rightarrow |v_d| = q\mathcal{E}\bar{\tau} / m_n^* \equiv \mu_n \mathcal{E}$$

$$\mu_n = \frac{q\bar{\tau}}{m_n^*} \text{ is the electron mobility} \quad \mu_p = \frac{q\bar{\tau}}{m_p^*} \text{ is the hole mobility}$$

Electron and hole mobilities of selected intrinsic semiconductors (T=300K)

	Si	Ge	GaAs	InAs
$\mu_n [cm^2/Vs]$	1400	3900	8500	30000
$\mu_p [cm^2/Vs]$	470	1900	400	500

# Mean Free Path

1. Introduction	□□□□□□□□
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3. Cubic Lattices	□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

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Average distance traveled between collisions is called mean free path

$$\lambda = v_{th} \bar{\tau}$$

This is an important length, structures at the order or smaller than m.f.p. show different performance.

# Mechanisms of Carrier Scattering

1. Introduction	██████████
2. Crystal	██████████████████
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5. Miller Indices	██████

Dominant scattering mechanisms:

Phonon scattering (lattice scattering)

Impurity (dopant) ion scattering

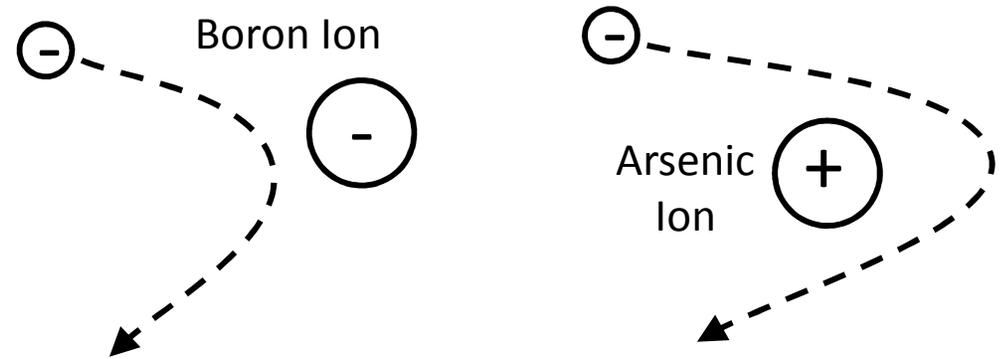
Phonon scattering mobility decreases when T increases:

$$\mu_{phonon} \propto \frac{\tau_{phonon}}{\text{phonon density} \times \text{carrier thermal velocity}} \propto \frac{1}{T \times T^{\frac{1}{2}}} \propto T^{-3/2}$$

$$\propto \frac{1}{T \times T^{\frac{1}{2}}} \propto T^{-3/2}$$

$$v_{th} \propto \sqrt{T}$$

There is less change in the electron's direction of travel if the electron zips by the ion at a higher speed.



$$\mu_{phonon} \propto \frac{v_{th}^3}{N_A + N_D} \propto \frac{T^{3/2}}{N_A + N_D}$$

# Matthiessen's Rule

1. Introduction	██████████
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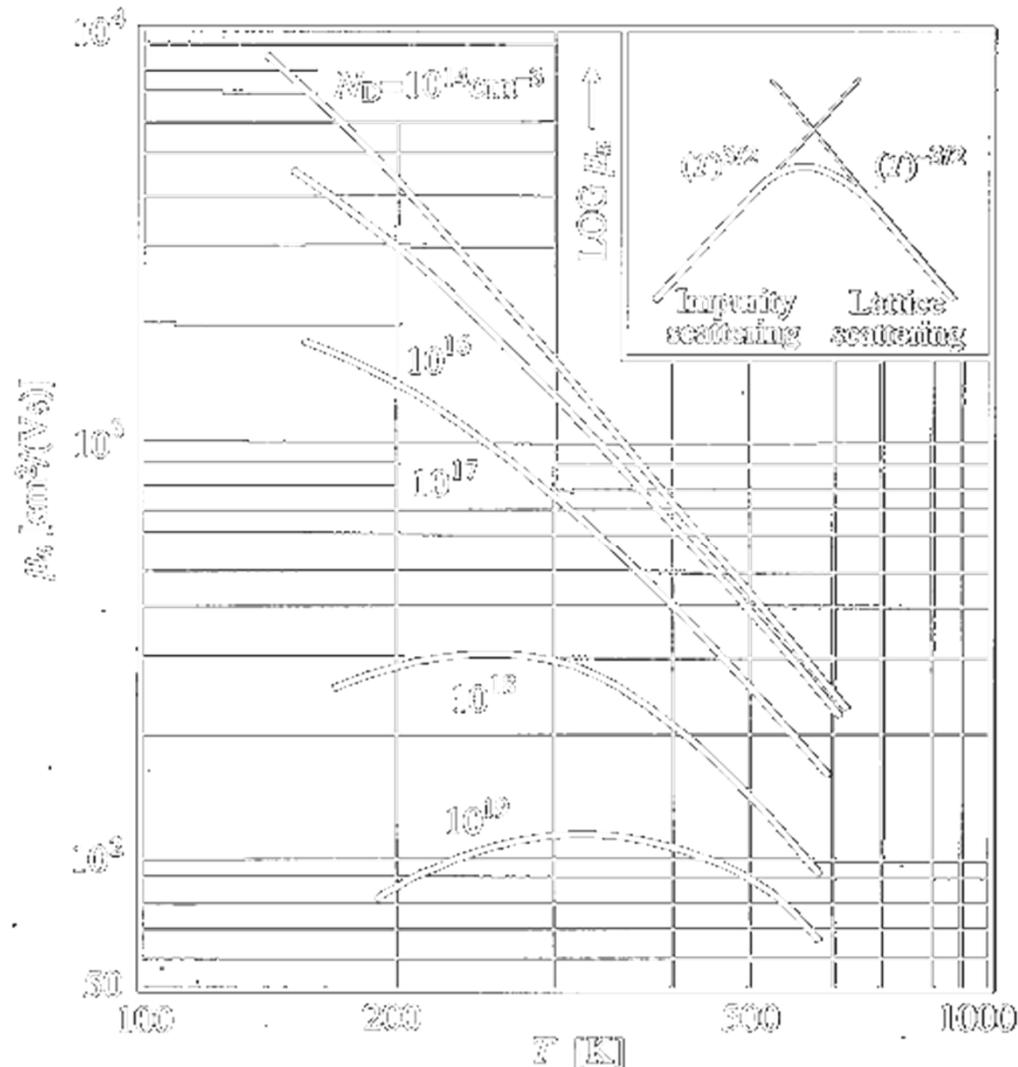
The probability that a carrier will be scattered by mechanism  $i$  within a time period  $dt$  is  $dt/\tau_i$ , where  $\tau_i$  is the mean time between scattering events due to mechanism  $i$ .

Hence, The probability that a carrier will be scattered within a time period  $dt$  is  $\sum dt/\tau_i$

$$\frac{1}{\tau} = \frac{1}{\tau_{phonon}} + \frac{1}{\tau_{imp}}$$

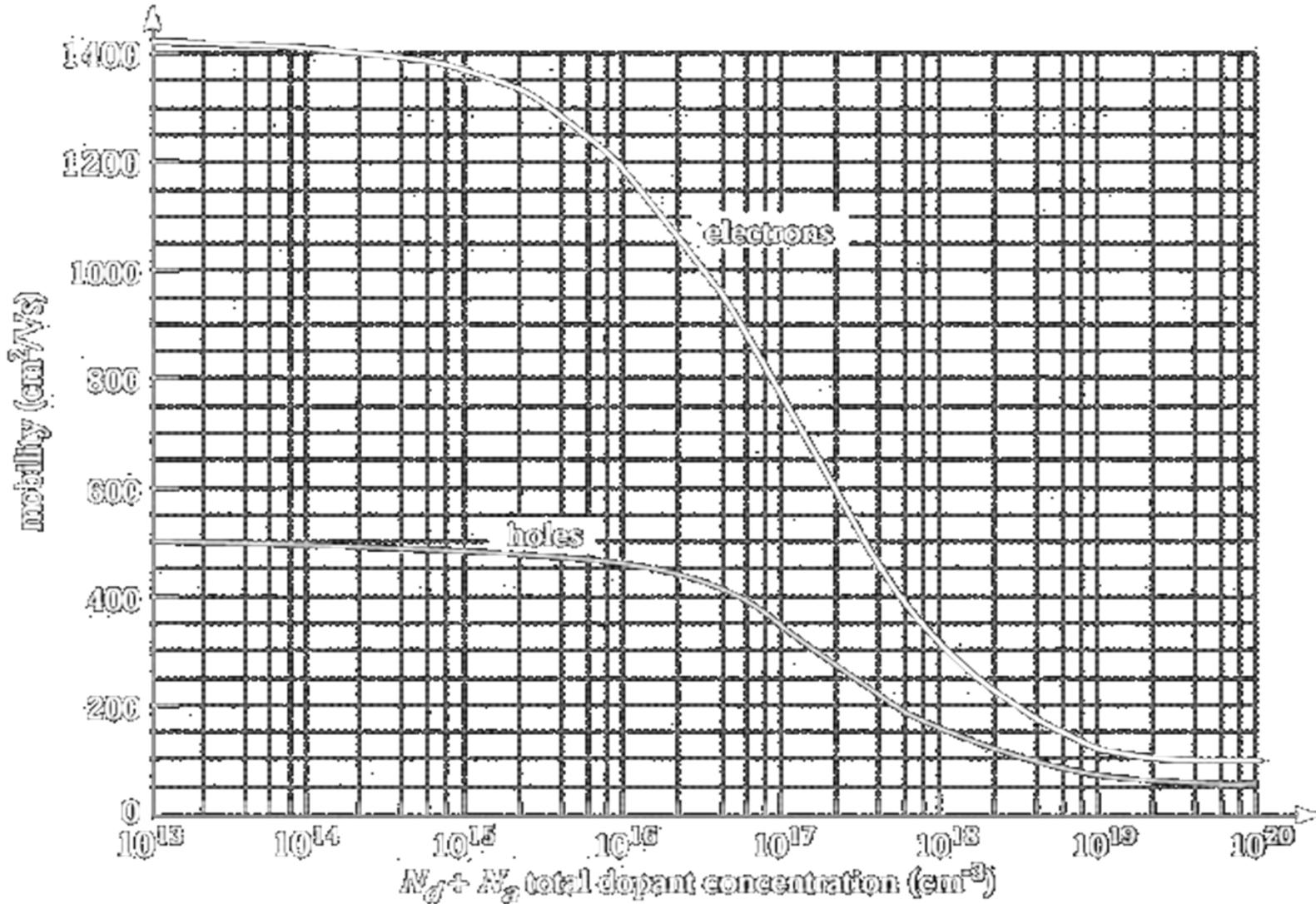
$$\rightarrow \frac{1}{\mu} = \frac{1}{\mu_{phonon}} + \frac{1}{\mu_{imp}}$$

Temperature  
Effect on  
Mobility:



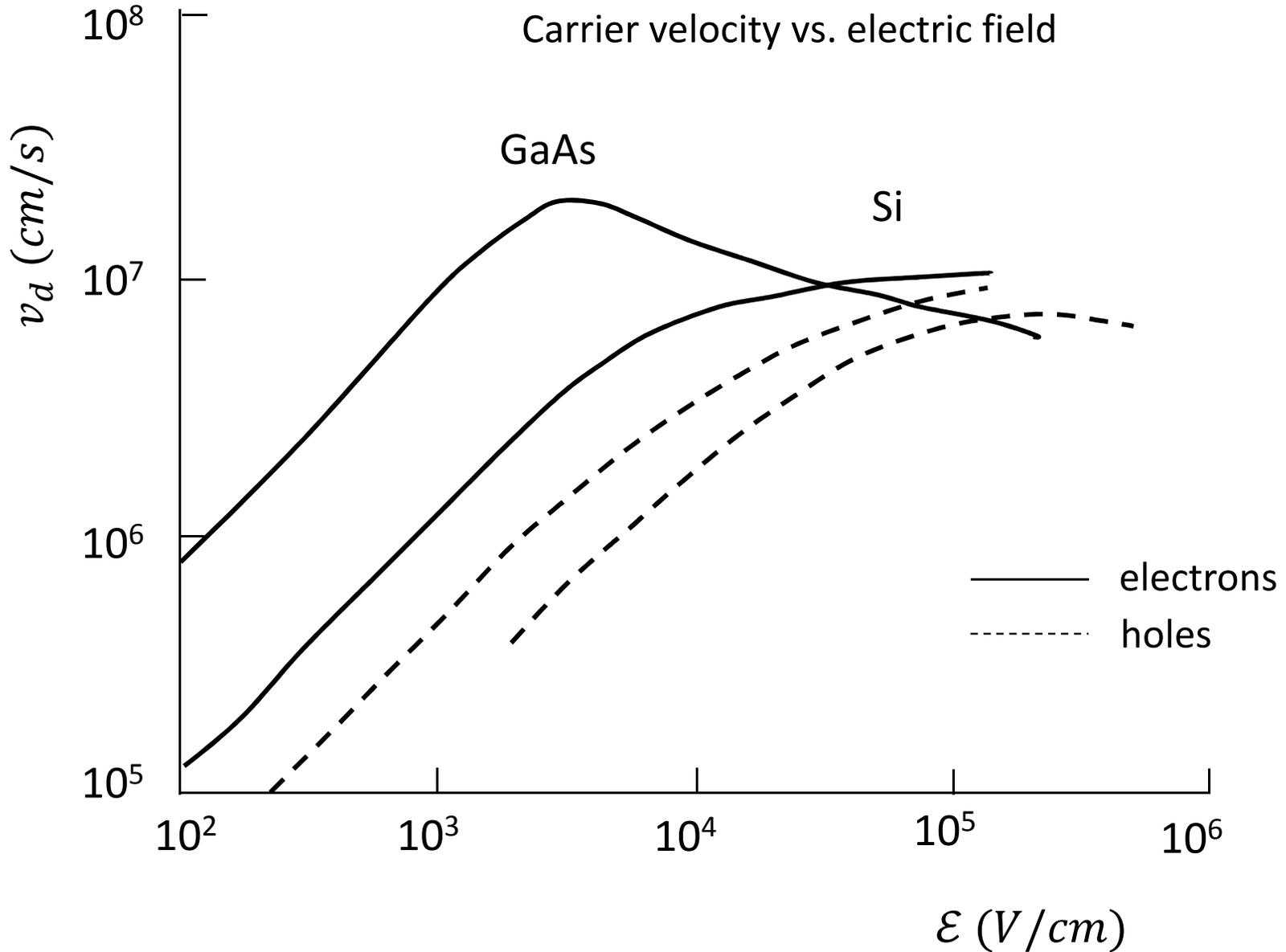
# Mobility Dependence on Doping (Si)

1. Introduction	████████
2. Crystal	██████████
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4. Other	████
5. Miller Indices	████



# Velocity Saturation

1. Introduction	██████████
2. Crystal	████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████



# Drift Velocity

1. Introduction	██████████
2. Crystal	██████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

$v_d t A$  = volume from which all holes cross plane in time  $t$

$pv_d t A$  = # of holes crossing plane in time  $t$

$qp v_d t A$  = charge crossing plane in time  $t$

$qp v_d A$  = charge crossing plane per unit time  
= hole current

→ Hole current per unit area =  $J_p = \frac{I}{A} = qp v_d$

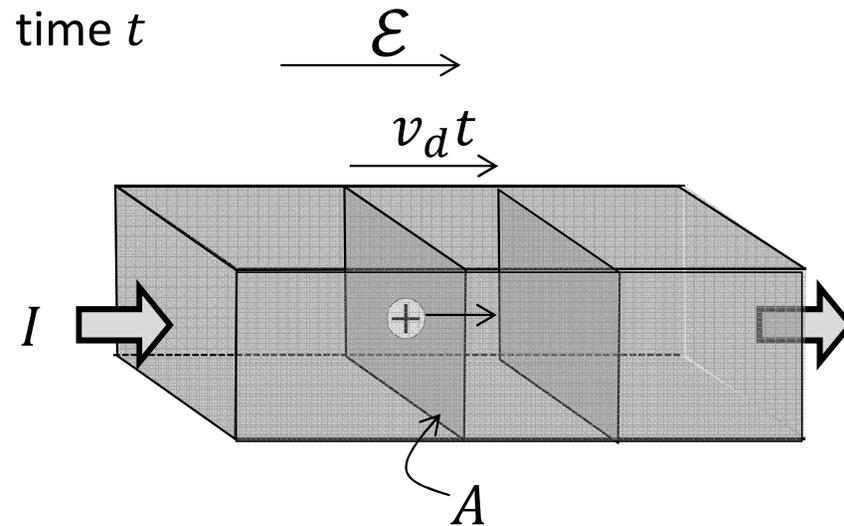
$$J_{n\_drift} = -qn v_{dn} = qn \mu_n \mathcal{E}$$

$$J_{p\_drift} = -qp v_{dp} = qp \mu_p \mathcal{E}$$

$$J_{drift} = J_{n\_drift} + J_{p\_drift} = (qn \mu_n + qp \mu_p) \mathcal{E} = \sigma \mathcal{E}$$

**Conductivity** of a semiconductor is  $\sigma \equiv (qn \mu_n + qp \mu_p)$

**Resistivity**  $\rho \equiv 1/\sigma$  (Unit: ohm-cm)



# Resistivity vs. Doping

1. Introduction	████████
2. Crystal	██████████
3. Cubic Lattices	████████
4. Other	████
5. Miller Indices	████

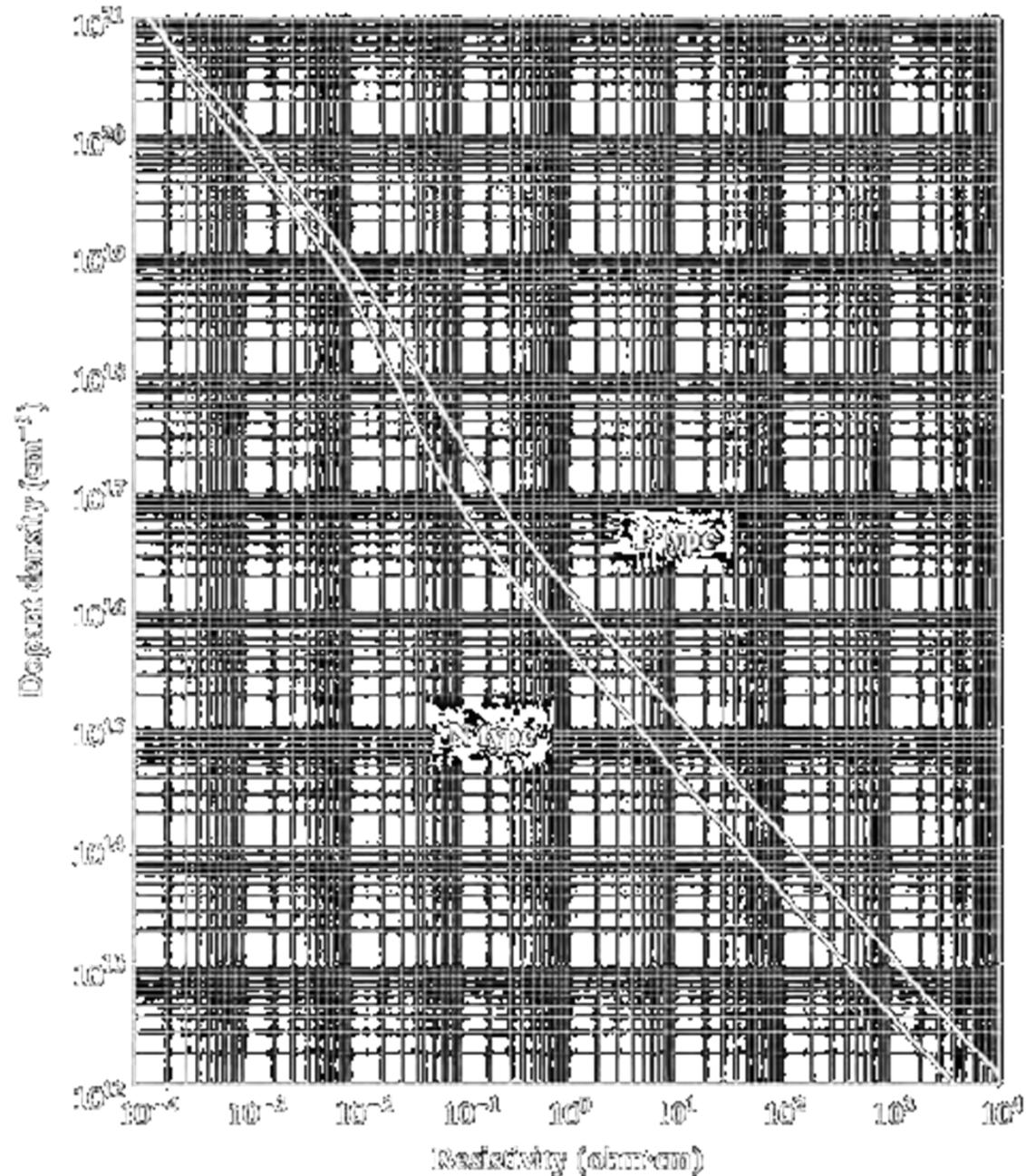
For n-type material:

$$\rho \cong \frac{1}{qn\mu_n}$$

For p-type material:

$$\rho \cong \frac{1}{qp\mu_p}$$

Note: This plot does not apply to the compensated material!

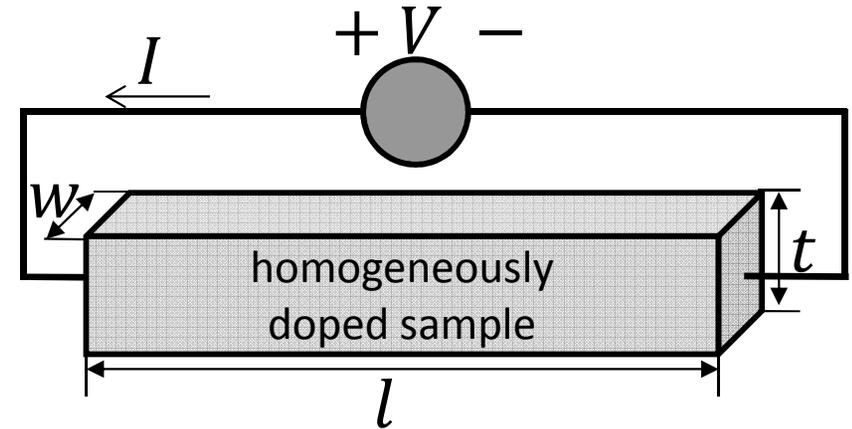


# Electrical Resistance

1. Introduction	██████████
2. Crystal	██████████████████
3. Cubic Lattices	██████████
4. Other	██████
5. Miller Indices	██████

Resistance (Ohms)

$$\sigma = \frac{1}{\rho} = \frac{J}{\mathcal{E}} = \frac{I/wt}{V/l} \rightarrow R \equiv \frac{V}{I} = \rho \frac{l}{wt}$$



**Q:** Consider a Si sample doped with  $10^{16}/cm^3$  Boron. What is its resistivity?

**A:**  $N_A = 10^{16}/cm^3$ ,  $N_D = 0$  ( $N_A \gg N_D$  hence p-type)  $p \approx 10^{16}/cm^3$  and  $n \approx 10^4/cm^3$

$$\begin{aligned} \rho &= \frac{1}{qn\mu_n + qp\mu_p} \sim \frac{1}{qp\mu_p} \\ &= [1.6 \times 10^{-19} \times 10^{16} \times 450]^{-1} \\ &= 1.4 \Omega cm \end{aligned}$$

**Q:** Consider the same Si sample doped with  $10^{17}/cm^3$  Arsenic. What is its resistivity?

**A:**  $N_A = 10^{16}/cm^3$ ,  $N_D = 10^{17}/cm^3$  ( $N_D \gg N_A$  hence n-type)  $n \approx 9 \times 10^{16}/cm^3$  and  $p \approx 1.1 \times 10^3/cm^3$

$$\begin{aligned} \rho &= \frac{1}{qn\mu_n + qp\mu_p} \sim \frac{1}{qn\mu_n} \\ &= [1.6 \times 10^{-19} \times 9 \times 10^{16} \times 650]^{-1} \\ &= 0.12 \Omega cm \end{aligned}$$

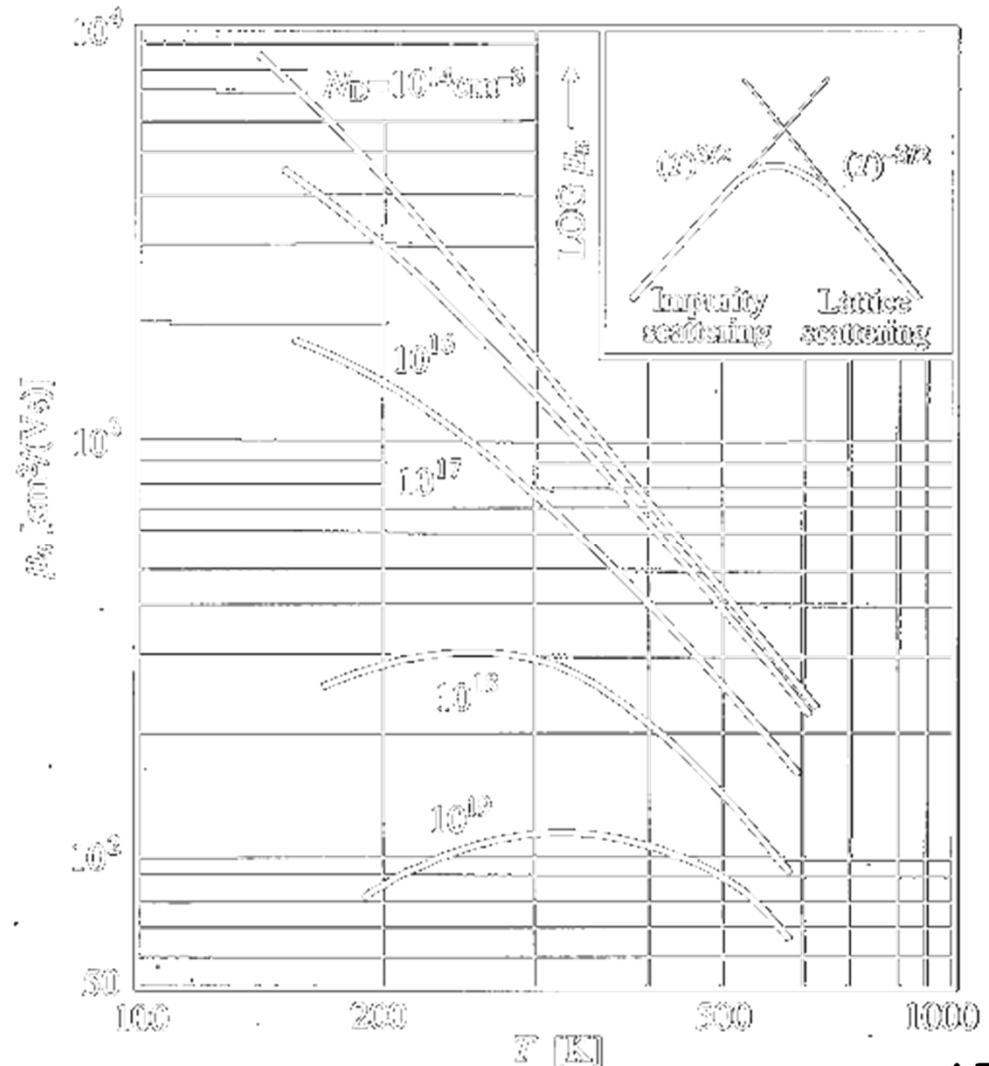
# Potential vs. Kinetic Energy

1. Introduction	██████████
2. Crystal	██████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

Q: Consider a Si sample doped with  $10^{17} \text{ cm}^{-3}$  As. How will its resistivity change when the temperature is increased from  $T=300\text{K}$  to  $T=400\text{K}$ ?

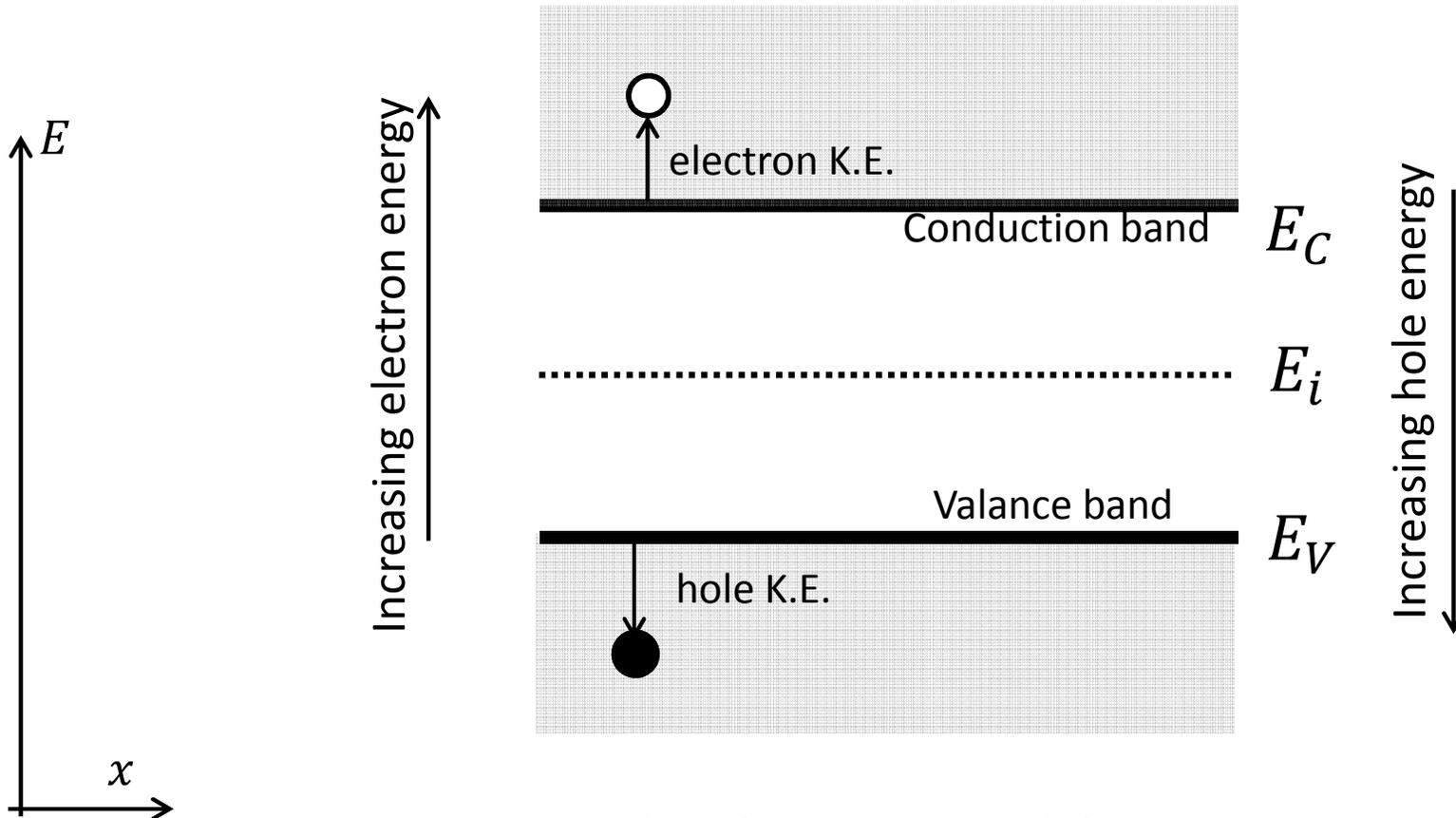
**A:** The temperature dependent factor in  $\rho$  (and therefore  $\rho$ ) is  $\mu_n$ . From the mobility vs. temperature curve for  $10^{17} \text{ cm}^{-3}$ , we find that  $\mu_n$  decreases from  $770 \text{ cm}^2/\text{Vs}$  at  $300\text{K}$  to  $440 \text{ cm}^2/\text{Vs}$  at  $400\text{K}$ . As a result,  $\rho$  **increases** by

$$\frac{770}{440} = 1.93$$



# Potential vs. Kinetic Energy

1. Introduction	██████████
2. Crystal	████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

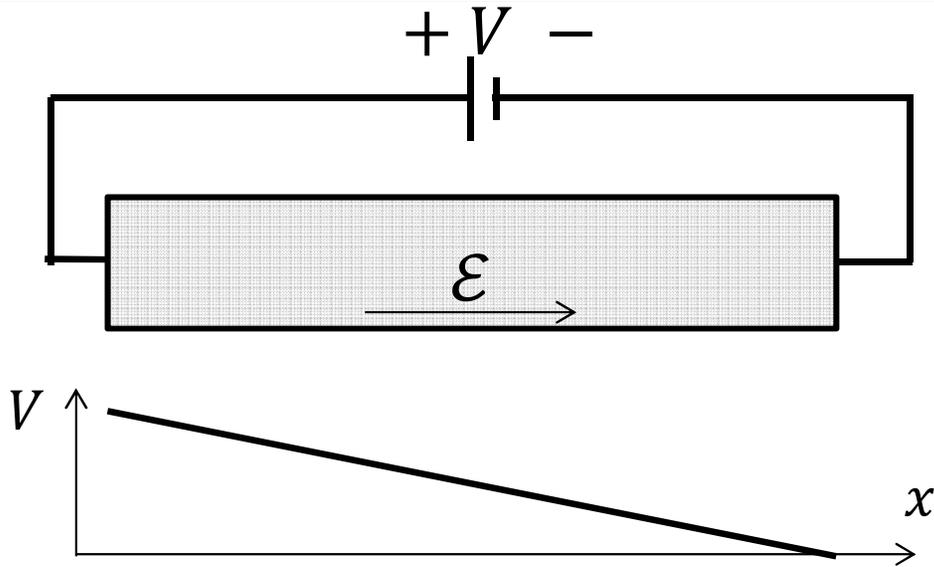


$E_C$  represents the electron potential energy:

$$P.E. = E_C - E_{\text{reference}}$$

# Band Bending

1. Introduction	▢▢▢▢▢▢▢▢
2. Crystal	▢▢▢▢▢▢▢▢▢▢▢▢
3. Cubic Lattices	▢▢▢▢▢▢▢
4. Other	▢▢▢▢
5. Miller Indices	▢▢▢▢▢

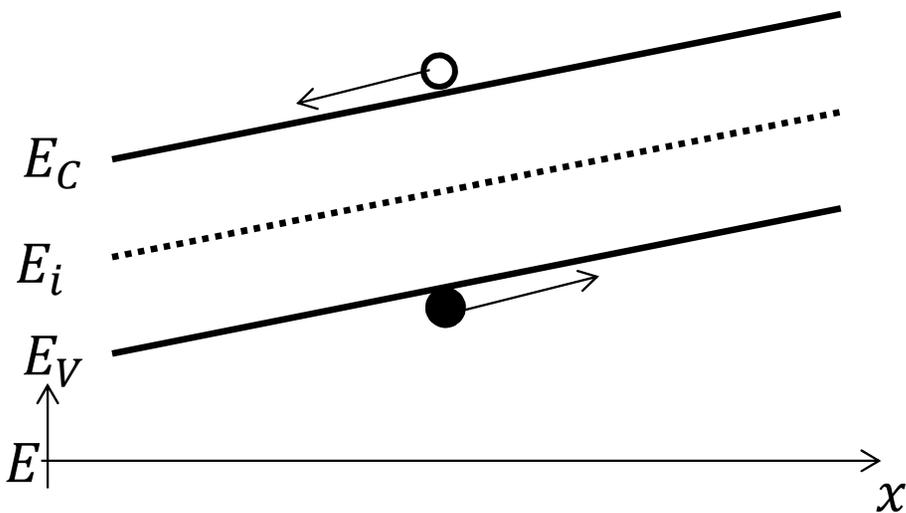


The potential energy of a particle with charge  $-q$  is related to the electrostatic potential  $V(x)$ :

$$P.E. = -qV$$

$$V = \frac{1}{q} (E_{\text{ref.}} - E_C)$$

$$\epsilon = -\frac{dV}{dx} = \frac{1}{q} \frac{dE_C}{dx}$$



Variation of  $E_C$  with position is called “band bending.”

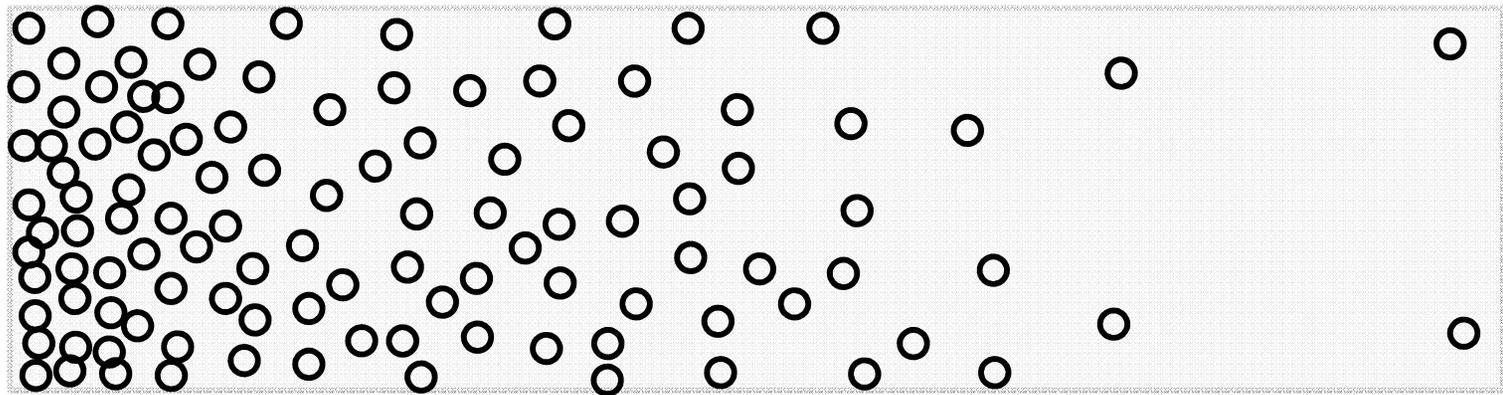
# Diffusion

1. Introduction	▢▢▢▢▢▢▢▢
2. Crystal	▢▢▢▢▢▢▢▢▢▢▢▢▢▢
3. Cubic Lattices	▢▢▢▢▢▢▢▢
4. Other	▢▢▢▢
5. Miller Indices	▢▢▢▢▢

Particles diffuse from regions of higher concentration to regions of lower concentration region, due to random thermal motion

Higher particle concentration

Lower particle concentration

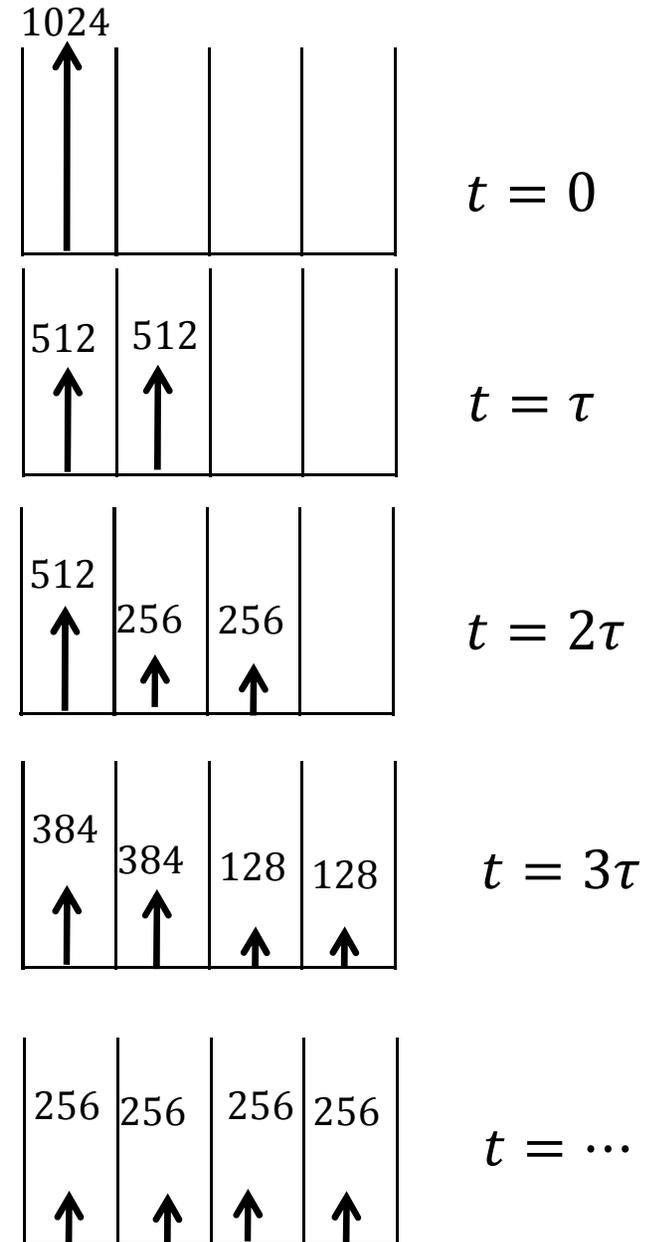


# 1-D Diffusion Example

1. Introduction	████████
2. Crystal	██████████
3. Cubic Lattices	████████
4. Other	████
5. Miller Indices	████

Thermal motion causes particles to move into an adjacent compartment every  $t$  seconds.

Each particle has an equal probability of jumping to the left and to the right.



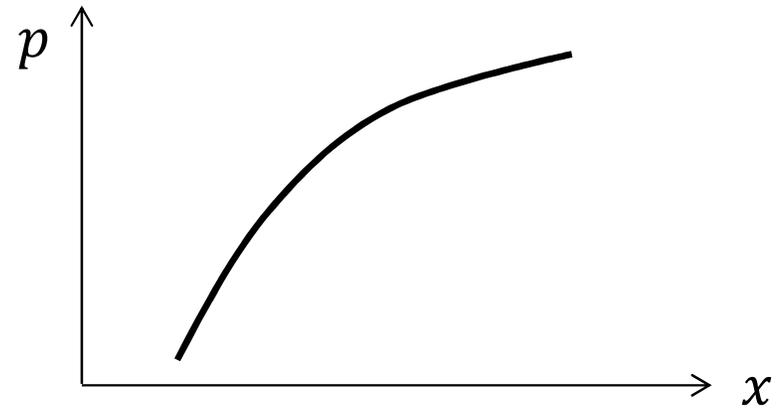
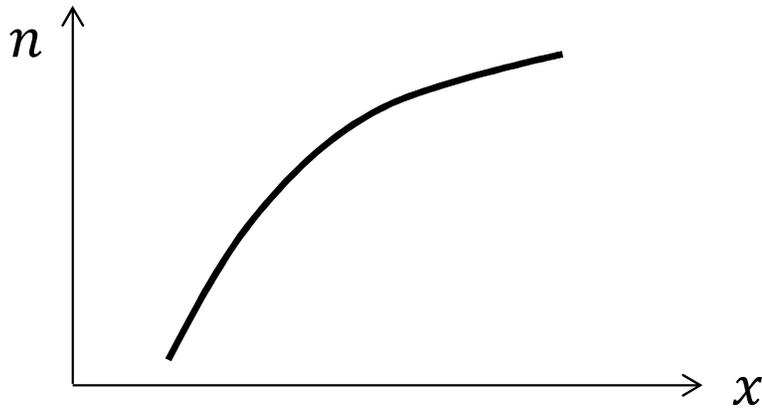
# Diffusion Current

1. Introduction	▢▢▢▢▢▢▢▢
2. Crystal	▢▢▢▢▢▢▢▢▢▢▢▢
3. Cubic Lattices	▢▢▢▢▢▢▢▢
4. Other	▢▢▢▢
5. Miller Indices	▢▢▢▢▢

$$J_{n\_diff} = qD_n \frac{dn}{dx}$$

$D$  is the diffusion constant, or diffusivity.

$$J_{p\_diff} = -qD_p \frac{dp}{dx}$$



$$J = J_n + J_p$$

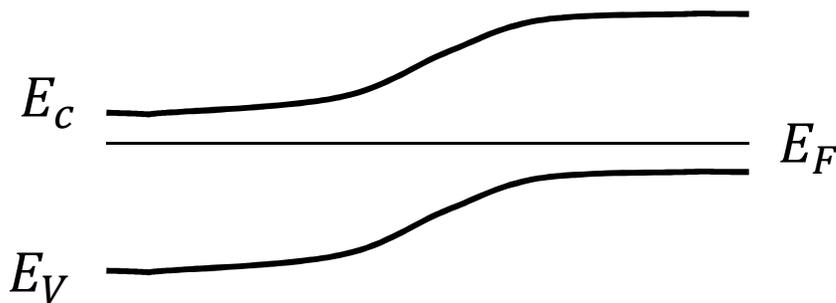
$$J_n = J_{n\_diff} + J_{n\_drift} = qn\mu_n\mathcal{E} + qD_n \frac{dn}{dx}$$

$$J_p = J_{p\_diff} + J_{p\_drift} = qp\mu_p\mathcal{E} - qD_p \frac{dp}{dx}$$

# Einstein Relationship

1. Introduction	██████████
2. Crystal	██████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

Consider a piece of a non-uniformly doped semiconductor:



In equilibrium,  $E_F$  is constant; therefore, the band energies vary with position:

In equilibrium, there is no net flow of electrons or holes

$$J_n = 0 \text{ and } J_p = 0$$

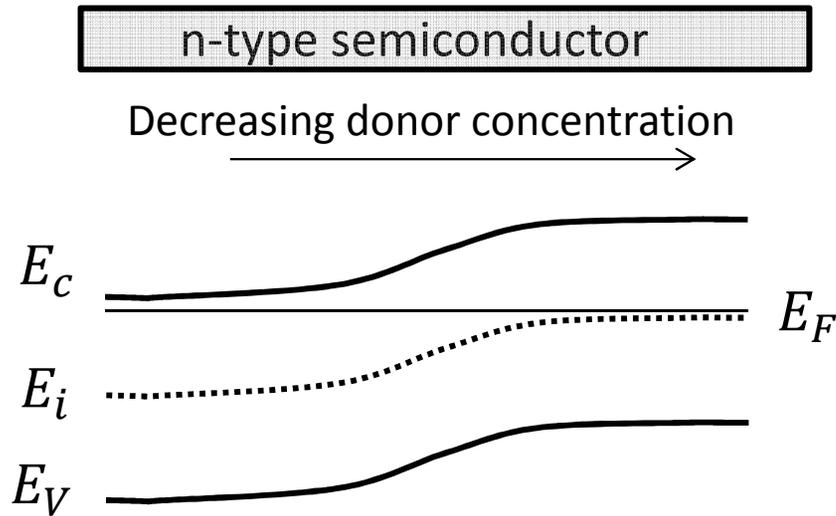
The drift and diffusion current components must balance each other exactly. (A built-in electric field exists, such that the drift current exactly cancels out the diffusion current due to the concentration gradient.)

$$J_n = qn\mu_n\mathcal{E} + qD_n\frac{dn}{dx}$$

# Non Uniformly Doped Semiconductor

1. Introduction	██████████
2. Crystal	██████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

The position of  $E_F$  relative to the band edges is determined by the carrier concentrations, which is determined by the dopant concentrations.



$$n = N_C e^{-(E_C - E_F)/kT}$$

$$\frac{dn}{dx} = -\frac{N_C}{kT} e^{-(E_C - E_F)/kT} \frac{dE_C}{dx}$$

$$= -\frac{n}{kT} \frac{dE_C}{dx} = -\frac{n}{kT} q\mathcal{E}$$

Under equilibrium conditions:  $J_n = 0$  and  $J_p = 0$

$$J_n = qn\mu_n\mathcal{E} + qD_n \frac{dn}{dx} = 0 = qn\mu_n\mathcal{E} - qD_n \frac{n}{kT} q\mathcal{E} \quad \rightarrow \quad D_n = \frac{kT}{q} \mu_n$$

Similarly:  $\rightarrow D_p = \frac{kT}{q} \mu_p$

Note: The Einstein relationship is valid for a non-degenerate semiconductor, even under non-equilibrium conditions

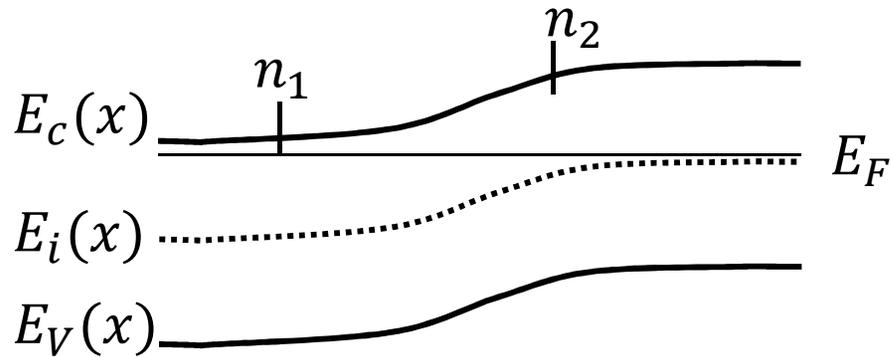
# Potential Difference due to $n(x)$ , $p(x)$

1. Introduction	██████████
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4. Other	████
5. Miller Indices	████

Consider a piece of a non-uniformly doped semiconductor:

n-type semiconductor  $n(x)$

Decreasing donor concentration  $\rightarrow$



$$n(x) = n_i e^{(E_F - E_i(x))/kT}$$

$$\rightarrow E_{i1} = E_F - kT \ln\left(\frac{n_1}{n_i}\right)$$

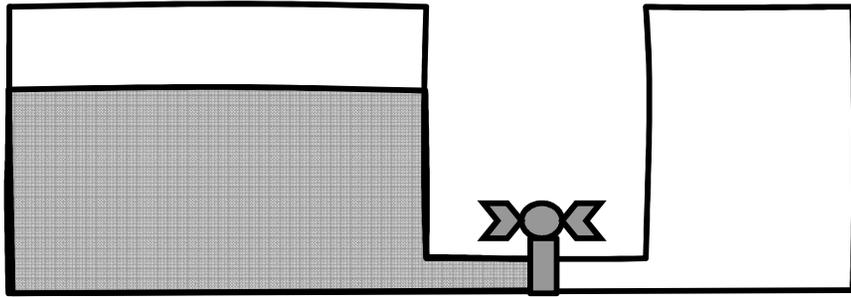
Similarly:  $E_{i2} = E_F - kT \ln\left(\frac{n_2}{n_i}\right)$

Therefore:  $E_{i1} - E_{i2} = kT \ln\left(\frac{n_2}{n_1}\right)$

$$V_2 - V_1 = \frac{1}{q} (E_{i1} - E_{i2}) = kT \ln\left(\frac{n_2}{n_1}\right)$$

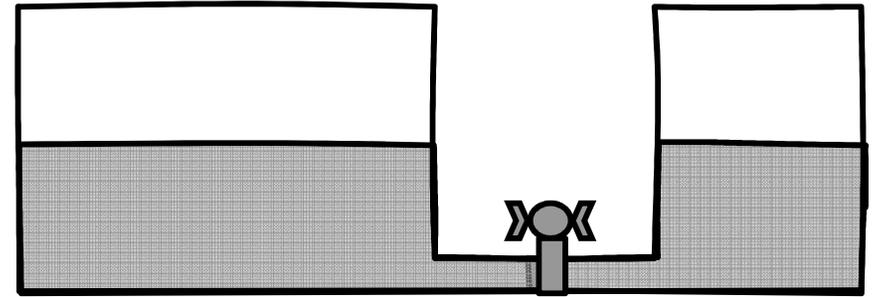
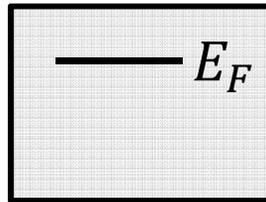
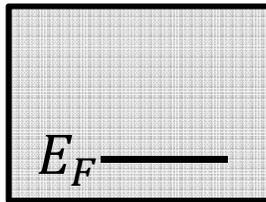
# Fermi Energy

1. Introduction	▢▢▢▢▢▢▢▢
2. Crystal	▢▢▢▢▢▢▢▢▢▢▢▢
3. Cubic Lattices	▢▢▢▢▢▢▢▢
4. Other	▢▢▢▢
5. Miller Indices	▢▢▢▢▢



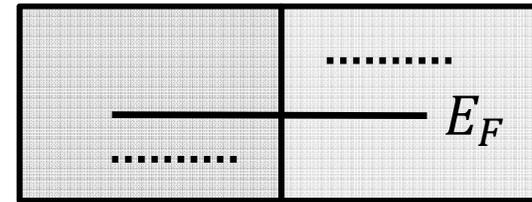
p-type

n-type



p-type

n-type



Density of state:  $D_1(E)$

$D_2(E)$

Fermi dist.:  $f_1(E)$

$f_2(E)$

$$D_1(E)f_1(E)[D_2(E)(1 - f_2(E))]$$

$$D_2(E)f_2(E)[D_1(E)(1 - f_1(E))]$$

then

$$D_1(E)f_1(E)[D_2(E)(1 - f_2(E))] = D_2(E)f_2(E)[D_1(E)(1 - f_1(E))]$$

$$\rightarrow f_1(E) = f_2(E)$$

$$\rightarrow E_{F1} = E_{F2}$$

1. Introduction	□□□□□□□□
2. Crystal	□□□□□□□□□□
3. Cubic Lattices	□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

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# Non-Equilibrium Process

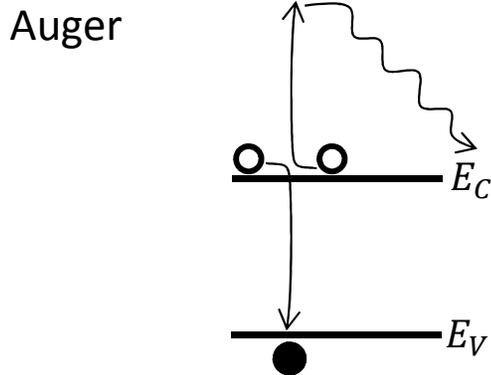
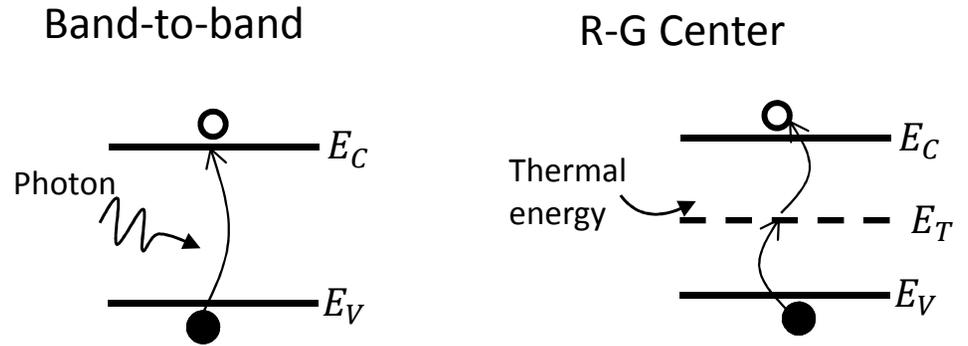
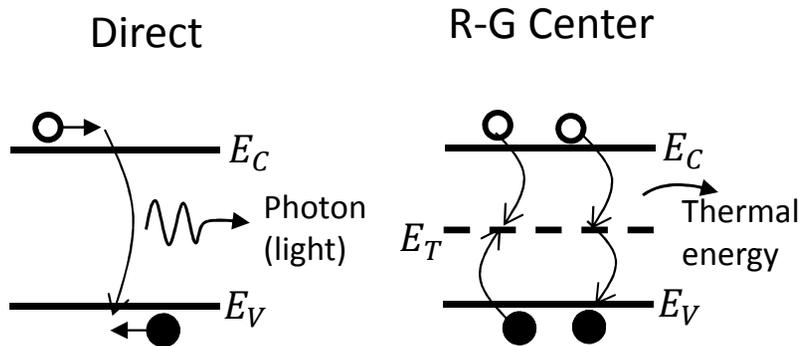
1. Introduction	██████████
2. Crystal	██████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	██████

Whenever the thermal-equilibrium condition of a semiconductor system is disturbed  $pn \neq n_i^2$  processes exist to restore the system to equilibrium

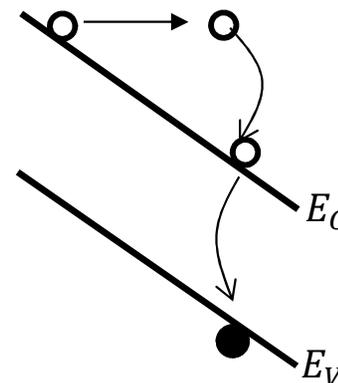
Generation and recombination processes act to change the carrier concentrations, and thereby indirectly affect current flow

Recombination mechanisms:  $pn > n_i^2$

Generation mechanisms:  $pn < n_i^2$



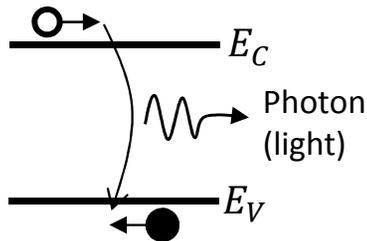
Impact Ionization



Recombination in Si is primarily via R-G centers

# Recombination Mechanisms

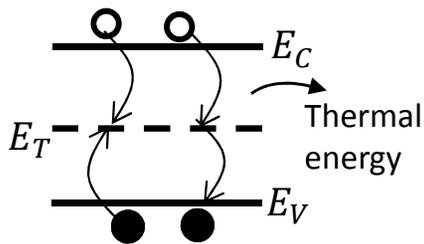
1. Introduction	██████████
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Direct or Band to Band:

Basis for light emission devices

Photon (single particle of light) or multiple phonons (single quantum of lattice vibration – equivalent to saying thermal energy)

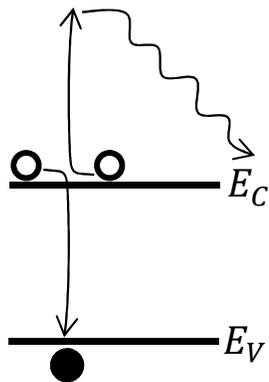


R-G Center:

Also known as Shockley-Read-Hall (SRH) recombination

Photon (single particle of light) or multiple phonons (single quantum of lattice vibration – equivalent to saying thermal energy)

Note: Trap level, Two steps: 1st Carrier is trapped at a defect/impurity, 2nd Carrier (opposite type) is attracted to the RG center and annihilates the 1st carrier



Auger:

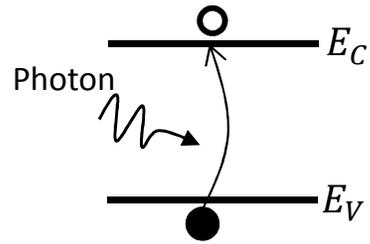
Requires 3 particles, Two steps:

1st carrier and 2nd carrier of the same type collide instantly annihilating the electron hole pair (1st and 3rd carrier).

The energy lost in the annihilation process is given to the 2nd carrier. 2nd carrier gives off a series of phonons until its energy returns to equilibrium energy ( $E \sim E_c$ )

# Generation Mechanisms

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2. Crystal	██████████████████
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4. Other	██████
5. Miller Indices	██████

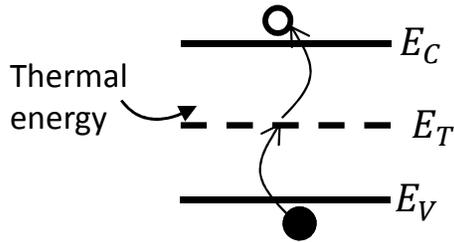


Direct or Band to Band:

Does not have to be a direct bandgap material

Mechanism that results in  $n_i$

Basis for light absorption devices such as semiconductor photodetectors, solar cells, etc.



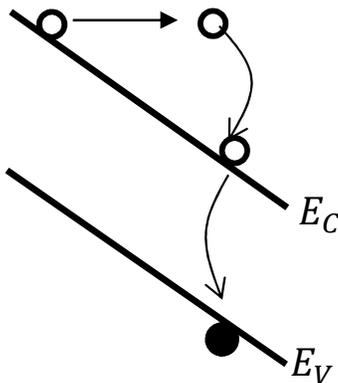
R-G Center:

Two steps:

A bonding electron is trapped at an unintentional defect/impurity generating a hole in the valence band

This trapped electron is then promoted to the conduction band resulting in a new electron-hole pair

Almost always detrimental to electronic devices



Impact Ionization:

Requires 3 particles and typically high electric fields

1st carrier is accelerated by high electric fields

Collides with a lattice atom

Knocks out a bonding electron

Creates an electron hole pair

What is it called when this process repeats and what device is it useful for?

# Low-Level Injection

1. Introduction	██████████
2. Crystal	██████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

Excess Carrier Concentrations:

$$\begin{aligned}\Delta n &\equiv n - n_0 \\ \Delta p &\equiv p - p_0\end{aligned}$$

← equilibrium values

Charge neutrality condition:  $\Delta n = \Delta p$

Low-Level Injection: Often the disturbance from equilibrium is small, such that the majority-carrier concentration is not affected significantly:

For an n-type material  $|\Delta n| = |\Delta p| \ll n_0$  so  $n \cong n_0$

For a p-type material  $|\Delta n| = |\Delta p| \ll p_0$  so  $p \cong p_0$

However, the minority carrier concentration can be significantly affected

# Indirect Recombination Rate

1. Introduction	██████████
2. Crystal	██████████████
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5. Miller Indices	████

Suppose excess carriers are introduced into an n-type Si sample (e.g. by temporarily shining light onto it) at time  $t = 0$ . How does  $p$  vary with time  $t > 0$ ?

1. Consider the rate of hole recombination via traps:

$$\left. \frac{\partial p}{\partial t} \right|_R = -c_p N_T p$$

$c_p =$  Capture coefficient  
 $N_T =$  # of traps

2. Under low-level injection conditions, the hole generation rate is not significantly affected:

$$\left. \frac{\partial p}{\partial t} \right|_G \cong \left. \frac{\partial p}{\partial t} \right|_{G_{equil.}} = - \left. \frac{\partial p}{\partial t} \right|_{R_{equil.}} = -c_p N_T p_0$$

3. The net rate of change in  $p$  is therefore

$$\left. \frac{\partial p}{\partial t} \right|_{R-G} = \left. \frac{\partial p}{\partial t} \right|_R + \left. \frac{\partial p}{\partial t} \right|_G = -c_p N_T p + c_p N_T p_0 = -c_p N_T (p - p_0)$$

$$\left. \frac{\partial p}{\partial t} \right|_{R-G} = \frac{\Delta p}{\tau_p} \quad \text{where} \quad \tau_p = \frac{1}{c_p N_T}$$

# Relaxation to Equilibrium State

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2. Crystal	□□□□□□□□□□
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4. Other	□□□□
5. Miller Indices	□□□□□

Consider a semiconductor with no current flow in which thermal equilibrium is disturbed by the sudden creation of excess holes and electrons. The system will relax back to the equilibrium state via the R-G mechanism:

for electrons in p-type material:  $\frac{\partial n}{\partial t} = -\frac{\Delta n}{\tau_n}$   $\tau_n \equiv (c_n N_T)^{-1}$

for holes in n-type material:  $\frac{\partial p}{\partial t} = -\frac{\Delta p}{\tau_p}$   $\tau_p \equiv (c_p N_T)^{-1}$

The minority carrier lifetime  $\tau$  is the average time an excess minority carrier “survives” in a sea of majority carriers.

$\tau$  ranges from 1ns to 1ms in Si and depends on the density of metallic impurities (contaminants) such as Au and Pt, and the density of crystalline defects. These deep traps capture electrons or holes to facilitate recombination and are called recombination-generation centers.

# Example: Photoconductor

1. Introduction	□□□□□□□□
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3. Cubic Lattices	□□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

Consider a sample of Si doped with  $10^{16} \text{ cm}^{-3}$  boron, with recombination lifetime  $1\mu\text{s}$ . It is exposed continuously to light, such that electron-hole pairs are generated throughout the sample at the rate of  $10^{20}$  per  $\text{cm}^3$  per second, i.e. the generation rate  $G_L = 10^{20} / \text{cm}^3 / \text{s}$

1. What are  $p_0$  and  $n_0$ ?

$$p_0 = 10^{16} \text{ cm}^{-3} \quad n_0 = 10^4 \text{ cm}^{-3}$$

2. What are  $\Delta n$  and  $\Delta p$ ?

$$G_L = \Delta n / \tau_n = 10^{20}$$

$$\Delta n = \Delta p = G_L \tau = 10^{20} \times 10^{-6} = 10^{14} \text{ cm}^{-3}$$

3. What are  $n$  and  $p$ ?

$$p = p_0 + \Delta p = 10^{16} + 10^{14} \approx 10^{16} \text{ cm}^{-3}$$

$$n = n_0 + \Delta n = 10^4 + 10^{14} \approx 10^{14} \text{ cm}^{-3}$$

3. What is the  $np$  product?

$$np = 10^{30} \text{ cm}^{-3} \gg n_i^2$$

# Net Recombination Rate (General Case)

1. Introduction	□□□□□□□□
2. Crystal	□□□□□□□□□□
3. Cubic Lattices	□□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

For arbitrary injection levels and both carrier types in a non-degenerate semiconductor, the net rate of carrier recombination is:

$$\frac{\partial \Delta n}{\partial t} = \frac{\partial \Delta p}{\partial t} = - \frac{pn - n_i^2}{\tau_p(n + n_1) + \tau_n(p + p_1)}$$

where  $n_1 \equiv n_i e^{(E_T - E_i)/kT}$  and  $p_1 \equiv n_i e^{(E_i - E_T)/kT}$

For low level injection:

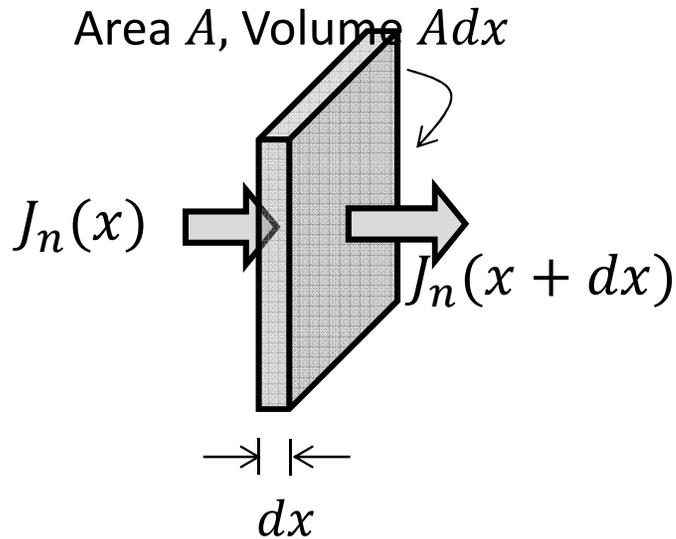
for electrons in p-type material:  $\frac{\partial n}{\partial t} = - \frac{\Delta n}{\tau_n}$

for holes in n-type material:  $\frac{\partial p}{\partial t} = - \frac{\Delta p}{\tau_p}$

# Derivation of Continuity Equation

1. Introduction	□□□□□□□□
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3. Cubic Lattices	□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

Consider carrier-flux into/out-of an infinitesimal volume:



$$Adx \left( \frac{\partial n}{\partial t} \right) = -\frac{1}{q} [J_n(x)A - J_n(x + dx)A] - \frac{\Delta n}{\tau_n} Adx$$

$$J_n(x + dx) = J_n(x) + \frac{\partial J_n(x)}{\partial x} dx$$

$$\rightarrow \frac{\partial n}{\partial t} = \frac{1}{q} \frac{\partial J_n(x)}{\partial x} - \frac{\Delta n}{\tau_n}$$

Continuity Equation:

$$\frac{\partial n}{\partial t} = \frac{1}{q} \frac{\partial J_n(x)}{\partial x} - \frac{\Delta n}{\tau_n} + G_L$$

$$\frac{\partial p}{\partial t} = -\frac{1}{q} \frac{\partial J_p(x)}{\partial x} - \frac{\Delta p}{\tau_p} + G_L$$

# Minority Carrier Diffusion Equation

1. Introduction	□□□□□□□□
2. Crystal	□□□□□□□□□□
3. Cubic Lattices	□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

The minority carrier diffusion equations are derived from the general continuity equations, and are applicable only for minority carriers.

Simplifying assumptions

1. The electric field is small, such that

$$J_n = qn\mu_n\mathcal{E} + qD_n \frac{dn}{dx} \sim qD_n \frac{dn}{dx} \quad \text{in p-type material}$$

$$J_p = qp\mu_p\mathcal{E} - qD_p \frac{dp}{dx} \sim qD_p \frac{dp}{dx} \quad \text{in n-type material}$$

2.  $n_0$  and  $p_0$  are independent of  $x$  (uniform doping)

3. low-level injection conditions prevail

Starting with the continuity equation for electrons

$$\frac{\partial n}{\partial t} = \frac{1}{q} \frac{\partial J_n(x)}{\partial x} - \frac{\Delta n}{\tau_n} + G_L \rightarrow \frac{\partial(n_0 + \Delta n)}{\partial t} = \frac{1}{q} \frac{\partial}{\partial x} \left[ qD_n \frac{\partial(n_0 + \Delta n)}{\partial x} \right] - \frac{\Delta n}{\tau_n} + G_L$$

$$\frac{\partial \Delta n}{\partial t} = D_n \frac{\partial^2 \Delta n}{\partial x^2} - \frac{\Delta n}{\tau_n} + G_L$$

# Minority Carrier Diffusion Equation

1. Introduction	□□□□□□□□
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3. Cubic Lattices	□□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

The subscript “ $n$ ” or “ $p$ ” is used to explicitly denote n-type or p-type material, e.g.

$p_n$  is the hole (minority-carrier) concentration in n-type material

$n_p$  is the electron (minority-carrier) concentration in p-type material

Thus the minority carrier diffusion equations are

$$\frac{\partial \Delta n_p}{\partial t} = D_n \frac{\partial^2 \Delta n_p}{\partial x^2} - \frac{\Delta n_p}{\tau_n} + G_L \quad \text{in p-type material}$$

$$\frac{\partial \Delta p_n}{\partial t} = D_p \frac{\partial^2 \Delta p_n}{\partial x^2} - \frac{\Delta p_n}{\tau_p} + G_L \quad \text{in n-type material}$$

Simplifications (Special Cases):

Steady state:

$$\frac{\partial \Delta n_p}{\partial t} = 0, \quad \frac{\partial \Delta p_n}{\partial t} = 0$$

No diffusion current:

$$D_n \frac{\partial^2 \Delta n_p}{\partial x^2} = 0, \quad D_p \frac{\partial^2 \Delta p_n}{\partial x^2} = 0$$

No R-G:

$$\frac{\Delta n_p}{\tau_n} = 0, \quad \frac{\Delta p_n}{\tau_p} = 0$$

No light:

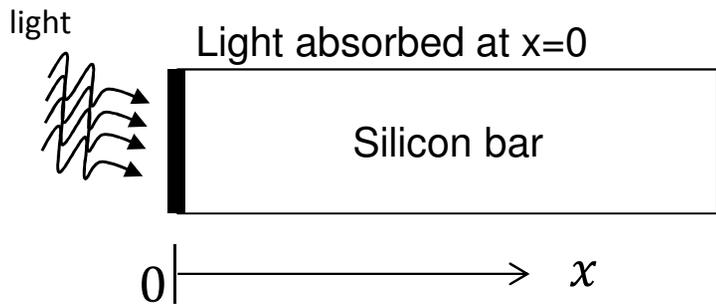
$$G_L = 0$$

# Example

1. Introduction	██████████
2. Crystal	██████████████████
3. Cubic Lattices	██████████
4. Other	████
5. Miller Indices	████

Consider the special case:

1. constant minority-carrier (hole) injection at  $x = 0$
2. steady state; no light absorption for  $x > 0$ :  $\Delta p_n(0) = \Delta p_{n0}$



$$0 = D_p \frac{\partial^2 \Delta p_n}{\partial x^2} - \frac{\Delta p_n}{\tau_p}$$

$$\frac{\partial^2 \Delta p_n}{\partial x^2} = \frac{\Delta p_n}{D_p \tau_p} = \frac{\Delta p_n}{L_p^2}$$

$L_p$  is the hole diffusion length:  $L_p \equiv \sqrt{D_p \tau_p}$

The general solution to the equation is

$$\Delta p_n(x) = A e^{-x/L_p} + B e^{x/L_p}$$

B.C.

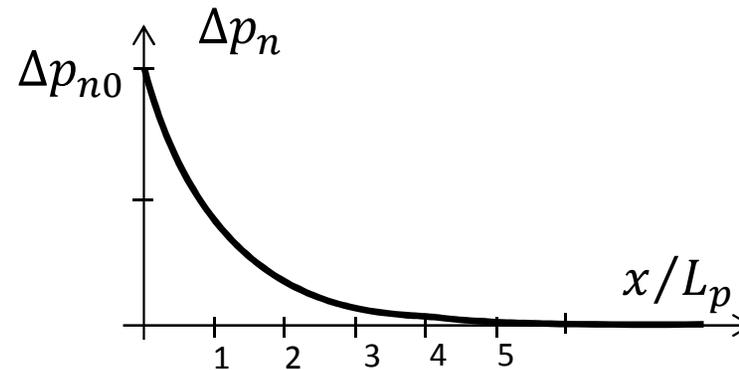
$$\Delta p_n(\infty) = 0 \rightarrow B = 0$$

$$\Delta p_n(0) = \Delta p_{n0} \rightarrow A = \Delta p_{n0}$$

Hence solution is:

$$\Delta p_n(x) = \Delta p_{n0} e^{-x/L_p}$$

where  $A, B$  are constants determined by boundary conditions:



# Example – Minority Carrier Diffusion length

1. Introduction	□□□□□□□□
2. Crystal	□□□□□□□□□□
3. Cubic Lattices	□□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

Physically,  $L_p$  and  $L_n$  represent the average distance that minority carriers can diffuse into a sea of majority carriers before being annihilated.

Q: Find  $L_p$  if  $N_D = 10^{16} \text{ cm}^{-3}$  ;  $\tau_p = 10^{-6} \text{ sec}$

$$L_p = \sqrt{D_p \tau_p}$$

$$D_p = \frac{kT}{q} \mu_p$$

$$\mu_p = 400 \text{ cm}^2/\text{Vs}$$

$$D_p = 10 \text{ cm}^2/\text{s}$$

$$L_p = 30 \text{ } \mu\text{m}$$

# Quasi-Fermi Levels

1. Introduction	□□□□□□□□
2. Crystal	□□□□□□□□□□
3. Cubic Lattices	□□□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□□

Whenever  $\Delta n = \Delta p \neq 0$ ,  $np \neq n_i^2$ . However, we would like to preserve and use the relations:

$$n = n_i e^{(E_F - E_i)/kT}$$

$$p = n_i e^{(E_i - E_F)/kT}$$

These equations imply  $np \neq n_i^2$ , however. The solution is to introduce two quasi-Fermi levels  $F_N$  and  $F_P$  such that

$$n = n_i e^{(F_N - E_i)/kT}$$

$$p = n_i e^{(E_i - F_P)/kT}$$

$$F_N = E_i + kT \ln \left( \frac{n}{n_i} \right)$$

$$F_P = E_i - kT \ln \left( \frac{p}{n_i} \right)$$

# Example: Quasi-Fermi Levels

1. Introduction	□□□□□□□□
2. Crystal	□□□□□□□□□□
3. Cubic Lattices	□□□□□□
4. Other	□□□□
5. Miller Indices	□□□□

Consider a Si sample with  $N_D = 10^{17} \text{ cm}^{-3}$  and  $\Delta n = \Delta p = 10^{14} \text{ cm}^{-3}$ .

What are p and n ?

$$n_0 = N_D = 10^{17} \text{ cm}^{-3}, p_0 = n_i^2 / n_0 = 10^3 \text{ cm}^{-3}$$

$$n = n_0 + \Delta n = 10^{17} + 10^{14} \approx 10^{17} \text{ cm}^{-3}$$

$$p = p_0 + \Delta p = 10^3 + 10^{14} \approx 10^{14} \text{ cm}^{-3}$$

What is the np product ?

$$np = 10^{31} \text{ cm}^{-3}$$

Find  $F_N$  and  $F_P$  :

$$F_N = E_i + kT \ln \left( \frac{n}{n_i} \right) \rightarrow F_N - E_i = kT \ln 10^7 = 0.42 \text{ eV}$$

$$F_P = E_i + kT \ln \left( \frac{p}{n_i} \right) \rightarrow E_i - F_P = kT \ln 10^4 = 0.24 \text{ eV}$$

